

### III. INTERSTATE NOTIFICATION PROTOCOL FOR SPILLS TO THE UPPER MISSISSIPPI RIVER

As described in the Introduction, this River-specific protocol is in place to speed and enhance communication among the agencies involved in response on the Upper Mississippi River. It does not replace or override other existing protocols or notification requirements (e.g., notification of the [National Response Center](#) per the [NCP](#)), but rather augments these in light of the need for rapid, targeted, inter-jurisdictional coordination on the Upper Mississippi River. It also establishes a procedure for continued communication over the duration of an incident. All UMR spill response MOA signatories have agreed to utilize this notification protocol as part of their response to spills on the Upper Mississippi River.

#### Applicability

**This spill notification protocol applies to all state and federal agencies which have signed the implementing MOA.**

- 1) **Each state will be represented by only one contact or coordinating agency** who will represent and assume the "state" role for purposes of this protocol. It is assumed that this agency will be one which is responsible for environmental emergency response to a spill on the Upper Mississippi River. The coordinating agencies are listed in the spill notification roster.
- 2) **Each federal agency will be represented by only one contact point per federal region** for purposes of receiving notifications and updates. The contact points are listed in the spill notification roster.

#### Initial Notification

The state which first becomes aware of a spill should confirm that notification to the [National Response Center](#), via call or online form, has been completed and initiate the UMR notification protocol. Under the UMR protocol, when a spill to the Upper Mississippi River occurs, **it is the responsibility of the first-aware state to notify other potentially affected states and appropriate federal response and natural resource agencies.** A state is to consider itself as first-aware if it has not previously been notified of the spill according to this protocol. Should a federal agency become first-aware of a spill, it will notify the state where the spill occurred (if known) or the state being impacted. That state will then be responsible for notifications according to this protocol. The initial notification protocol is as follows:

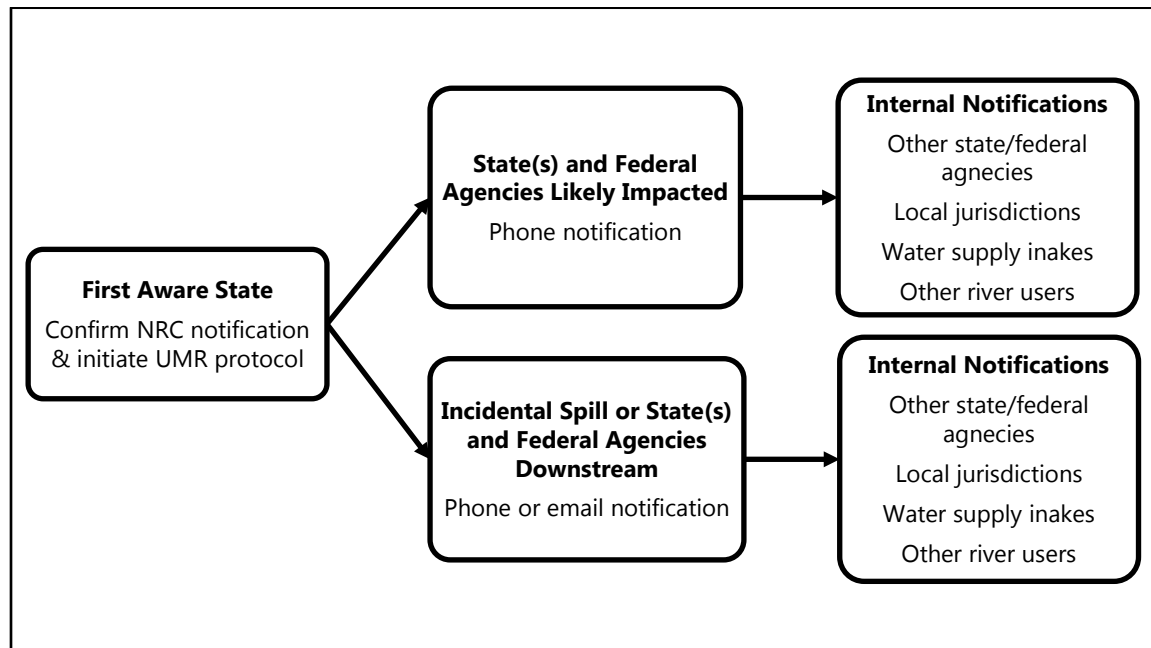
- 1) **All spills are to be reported.**
  - a. **Notification of spills likely to impact adjoining states is to be made by voice immediately.** The notification is made to the coordinating agency via the 24-hour number listed in the notification roster in this manual.
  - b. **Notification of incidental spills or spills that are far upstream of the notification recipient should be made during first available working hours by voice or email** utilizing the contact information provided in the notification roster in this manual.

The first-aware state should use its best judgment as to what is an incidental spill. Some factors that may affect this decision are i) the location of the spill relative to water intakes,

sensitive/critical fish and wildlife habitat, and major cities, and/or ii) the type and amount of material involved. In addition, news interest/coverage may make an otherwise environmentally insignificant spill into one of which other states and federal agencies should be made aware. If there is any doubt as to the significance of the spill, notification should be made.

- 2) **Each state is responsible for its own intrastate notifications**, such as those to other agencies within state government, local jurisdictions, and water supply intakes.
- 3) **When a spill originates within a state, that state will be the designated coordinating state** unless another state agrees to take over that responsibility (perhaps because of the greater involvement by the second state in the spill response). When the spill occurs in or affects the UMR at a boundary between two states, these states will decide during initial notification as to which state will be the designated coordinating state.

**Figure 2: UMR Spill Notification Protocol**



## Updates

Informal daily updates will be made to adjacent and downstream states by the designated coordinating state if the response is state-lead or by the federal on-scene coordinator (FOSC) if the response is federally-led. A federal OSC may negotiate with a state to provide daily updates if the federal OSC maintains close communications and provides the necessary information to that designated coordinating state.

- 1) It is suggested that updates be emailed daily at a regular time which will meet agency management and public information needs, although urgent information should be sent immediately.
- 2) A state or federal agency which responds in any way to a spill is to update the designated coordinating state or federal OSC on its activity and findings daily. The reports should contain a

summary of all activity by that state/agency since its last report, including lab analyses and maps if appropriate. The reports should also list what future actions that state or agency plans to undertake.

- 3) When the designated coordinating state or federal OSC determines that daily updates are no longer necessary, this should be communicated via a final update. It should be labeled prominently as "FINAL" and state why the updates are being discontinued.

# Spill Notification Roster

This roster is to be used for notification and status report purposes. The list contains primary contacts, which include the five Upper Mississippi River basin states, the U.S. Environmental Protection Agency, U.S. Army Corps of Engineers, U.S. Coast Guard, and U.S. Fish and Wildlife Service. The primary contacts are those agencies that should receive first notice of a spill to the river. Additional key contacts, including downstream states and numerous federal agencies and offices are also included. Note that other river contact information can be found in the Resource Manual.

The call roster includes a business hour number for the primary response/coordinating agency, a 24-hour number for the agency that accepts the initial spill reports, and an email contact where available. The telephone number for the primary coordinating agency is used for interstate or interagency coordination during business hours. The 24-hour number is used for initial spill reporting for spills which may affect interstate waters. The email contact is used for other notifications or updates to state or federal agencies. Note that the emails are for individual UMR Spills Group members.

## PRIMARY CONTACTS

### Illinois

Coordinating Agency	Illinois Environmental Protection Agency Emergency Operations Unit	217-782-3637
24-hour	Illinois Emergency Management Agency	217-782-7860
Email	Roger Lauder	<a href="mailto:roger.lauder@illinois.gov">roger.lauder@illinois.gov</a>

### Iowa

Coordinating Agency	Iowa Department of Natural Resources	515-725-8694
24-hour	Iowa Department of Natural Resources	515-725-8694
Email	Joe Sanfilippo	<a href="mailto:joe.sanfilippo@dnr.iowa.gov">joe.sanfilippo@dnr.iowa.gov</a>

### Minnesota

Coordinating Agency	Minnesota Pollution Control Agency Emergency Response Team	651-757-2161
24-hour	Minnesota Department of Public Safety	1-800-422-0798 or 651-649-5451
Email	Dorene Fier-Tucker	<a href="mailto:dorene.fier-tucker@state.mn.us">dorene.fier-tucker@state.mn.us</a>

### Missouri

Coordinating Agency	Missouri Department of Natural Resources	573-526-3315
24-hour	Missouri Department of Natural Resources	573-634-2436
Email	Rick Gann	<a href="mailto:rick.gann@dnr.mo.gov">rick.gann@dnr.mo.gov</a>

### Wisconsin

Coordinating Agency	Wisconsin Department of Natural Resources	800-943-0003
24-hour	Wisconsin Emergency Management	800-943-0003
Email	Tom Kendzierski	<a href="mailto:thomas.kendzierski@wisconsin.gov">thomas.kendzierski@wisconsin.gov</a>

**PRIMARY CONTACTS**

(Continued)

**National Response Center - Washington, D.C.**

Business Hours	National Response Center	1-800-424-8802
24-hour	National Response Center	1-800-424-8802
Online Form		<a href="http://www.nrc.uscg.mil">www.nrc.uscg.mil</a>

**U.S. Department of the Army, Army Corps of Engineers - Vicksburg, MS**

Business Hours	Mississippi Valley Division, Operations Chief	601-634-5866 (Dennis Norris – office)
24-hour	Mississippi Valley Division, Operations Chief	601-831-2383 (Dennis Norris – cell)
(See pp. A-6, A-7, and A-9 for Army Corps of Engineers’ district and lock and dam contacts.)		

**U.S. Environmental Protection Agency - Region 5, Chicago**

Coordinating Office	Emergency and Enforcement Response Branch	312-353-2318
24-hour	Emergency and Enforcement Response Branch	312-353-2318

**U.S. Environmental Protection Agency - Region 7, Kansas City**

Coordinating Office	Emergency Response Program	913-281-0991
24-hour	Emergency Response Program	913-281-0991

**U.S. Coast Guard – Sector UMR - St. Louis, MO**

Business Hours	Sector UMR	314-269-2500
24-hour	Sector UMR	1-866-360-3386 or 314-269-2332

**U.S. Fish and Wildlife Service - Twin Cities Regional Office**

[Note: The Fish and Wildlife Service contact numbers listed below should be used only to report, or consult on, a spill that has already been reported to the National Response Center hotline (800-424-8802). Discussions with Fish and Wildlife Service personnel will under no circumstances constitute Natural Resource Trustee notification under OPA, CERCLA, or the NCP.]

Coordinating Office	None — use 24-hour number below in all instances	
24-hour	DOI Regional Environmental Officer for Region V (includes IL, MN, and WI)	215-266-5155
	DOI Regional Environmental Officer for Region VII (includes IA and MO)	303-478-3373

**ADDITIONAL CONTACTS**

**National Pollution Funds Center – Washington, D.C.**

Business Hours	National Pollution Funds Center	703-872-6000
24-hour	Command Duty Officer (CDO)	202-494-9118
	Team 1 (includes Iowa and Missouri)	708-872-6067
	Team 4 (includes Illinois, Minnesota, and Wisconsin)	703-872-6088

## ADDITIONAL CONTACTS

(Continued)

### Arkansas

Business Hours	Department of Emergency Management	1-800-322-4012
24-hour	Department of Emergency Management	1-800-322-4012

### Kentucky

Business Hours	Department for Environmental Protection	502-564-2380
24-hour	Department for Environmental Protection	1-800-928-2380

### Tennessee

Business Hours	Emergency Management Agency	1-800-258-3300
24-hour	Emergency Management Agency	1-800-258-3300

### U.S. Coast Guard - Eighth District, New Orleans

Coordinating Office	Eighth District Command Center	504-589-6225
24-hour	Eighth District Command Center	504-589-6225

### U.S. Coast Guard - St. Paul, MN

Business Hours	Marine Safety Detachment	612-725-1871
24-hour	Sector UMR	1-866-360-3386 or 314-269-2332

### U.S. Coast Guard - Quad Cities

Business Hours	Marine Safety Detachment	309-782-0627
24-hour	Sector UMR	1-866-360-3386 or 314-269-2332

### U.S. Coast Guard - Memphis, TN

Business Hours	Sector Lower Mississippi River	1-866-777-2784
24-hour	Sector Lower Mississippi River	1-866-777-2784

### U.S. Coast Guard - Paducah, KY

Business Hours	Marine Safety Unit	270-442-1621
24-hour	Sector Ohio Valley	1-800-253-7465

### U.S. Coast Guard - Louisville, KY

Business Hours	Sector Ohio Valley	502-779-5422
24-hour	Sector Ohio Valley	1-800-253-7465

### U.S. Coast Guard, Atlantic Strike Team - Fort Dix, NJ

Business Hours	Atlantic Strike Team	609-724-0008
24-hour	Atlantic Strike Team	609-724-0008

### U.S. Coast Guard, National Strike Force - Elizabeth City, NC

Business Hours	National Strike Force	252-331-6000
24-hour	National Strike Force	252-331-6000

**ADDITIONAL CONTACTS**

(Continued)

**U.S. Department of Agriculture – Animal and Plant Health Inspection Service - Fort Collins, CO**

Business Hours	Wildlife Services	970-266-6363 or 877-303-6363
24-hour	Wildlife Services	970-266-6363 or 877-303-6363

**U.S. Department of the Interior - Philadelphia, PA** (Regional Environmental Officer for Region 5)

Business Hours	Office of Environmental Policy & Compliance	215-597-5378
24-hour	Office of Environmental Policy & Compliance	215-266-5155

**U.S. Department of the Interior - Denver, CO** (Regional Environmental Officer for Region 7)

Business Hours	Office of Environmental Policy & Compliance	303-445-2500
24-hour	Office of Environmental Policy & Compliance	303-478-3373
(See pp. C-13 and C-14 for Fish and Wildlife Service field-level contacts.)		

**U.S. Department of Commerce, National Oceanic and Atmospheric Administration - Cleveland, OH**

Business Hours	Scientific Support Coordinator	216-522-7760
24-hour	NOAA Hazmat Duty Officer (Seattle)	206-526-4911

**U.S. Department of Commerce, National Weather Service**

Business Hours	Regional Warning & Prep Meteorologist, Kansas City	816-426-3239
24-hour	National Weather Service Forecast Offices (unlisted numbers)	
	Minneapolis, Minnesota	612-361-6671
	Milwaukee, Wisconsin	414-965-5063
	Davenport, Iowa	563-386-4110
	Des Moines, Iowa	515-270-4501
	Chicago, Illinois	815-834-0651
	St. Louis, Missouri	314-447-1887
24-hour	River Forecast Center (Minneapolis)	612-361-6660 612-361-6664

**U.S. Environmental Protection Agency - Region 4, Atlanta, GA**

Business Hours	Emergency Response	404-562-8700
24-hour	Emergency Response	404-562-8700

**U.S. Environmental Protection Agency - Region 6, Dallas, TX**

Business Hours	Emergency Response	866-372-7745
24-hour	Emergency Response	866-372-7745