UPPER MISSISSIPPI RIVER POOL 13 INITIAL INCIDENT ACTION PLAN (IAP)



This Initial Incident Action Plan is developed to aid in initiating a timely and effective response to spills of oil and other hazardous materials in Pool 13 of the Upper Mississippi River. It is intended to be used during Operational Period # 1 of response only at the discretion of the Incident Commander. It is not intended to supercede the direction of the Incident Commander or eliminate the need for ongoing communication during a response.

ORG	NAME		DATE/TIME
		First Local IC (911, Fire Dept., County Emergency Mgr.)	
		First Responding State (IL EPA or IA DNR)	
		FOSC; USCG (Vessel or facility), EPA (facility, train or other)	
		USFWS Lead Representative	
		IL DNR /IA DNR Fish and Wildlife Sections Lead Representative	

Upper Mississippi River Refuge, Pool 13 INITIAL INCIDENT ACTION PLAN

EXECUTIVE SUMMARY

In order to best prepare for oil and hazardous material spills in Pool 13 of the Upper Mississippi River, an interagency team comprised of representatives from the US Environmental Protection Agency (US EPA), US Fish and Wildlife Service (USFWS), US Coast Guard, and other federal, state, local agencies, and private sector, identified the need for a specialized planning document that would: 1) describe the roles that agencies and other entities would likely play in an incident, and 2) give responders a mechanism to help organize both in advance and during a response. This planning tool would also help multiple agencies to coordinate their operations and resources and make effective and efficient use of their personnel, supplies and time.

To help meet these needs, the enclosed Incident Action Plan (IAP) document was created. The plan has been tailored to identify the forms most likely to be used in a Pool 13 response and includes potential objectives for Pool 13 as well as a detailed emergency contact list. The plan provides a concise and coherent means of capturing and communicating the overall incident priorities, objectives and strategies in the context of both operational and support activities.

The IAP was developed to aid the implementation of the Incident Command System (ICS). During an incident, the ICS emphasizes orderly and systematic planning and this document is intended to be the central tool for planning during the Operational Period #1 of response at the discretion of the Incident Commander (IC). The plan is not intended to supersede the direction or authority of the IC, or to preclude communication or flexibility in response. Incidents vary in their kind, complexity, size and requirements for detailed or written plan. The level of detail required in an Incident Action Plan will vary according to the size and complexity of the response. After the operational period #1 of an incident, it is expected that this IAP will be superseded by a response specific IAP as needed.

The IAP was developed to be consistent with, and support, existing plans and procedures including the Region 5 RCP/ACP, the Upper Mississippi River Spill Response Plan, the Upper Mississippi River National Wildlife and Fish Refuge Spill Contingency Plan and Pool 13 Response Strategies, state response plans and local response plans.

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Incident Action Planning Process

In the incident command system (ICS), considerable emphasis is placed on developing effective Incident Action Plans (IAP). A planning process has been developed as a part of the ICS to assist planners in the development of a plan in an orderly and systematic manner. Not all incidents require detailed written plans. Recognizing this, the following planning process provides a series of basic planning steps, which are generally appropriate for use in any incident situation. The determination of the need for written IAPs and attachments/forms is based on the requirements of the incident, and the judgment of the Incident Commander. The Planning Section Chief prepares the Incident Action Plan with input from the appropriate sections and units of the Incident Management Team. It should be written at the outset of the response and revised continually throughout the response.

The diagram below shows the process and steps involved to develop an IAP. The IAP included in this document is intended to help guide response through the Operational Period #1 cycle of an incident on Pool 13 of the Upper Mississippi River.





NOTE: The cycle above assumes the first operational period. It can be adjusted to operational shifts of any duration.

Agency General Spill Roles and Responsibilities for UMR Pool 13				
Agencies	General Roles and Responsibilities			
Responsible Party/Facility/Industry	The spiller, or responsible party (RP), has the primary responsibility to conduct spill cleanup, following the procedures listed in the facility response plan. The first response action of the RP is making notification of an incident to appropriate other responders of the incident, according to law and the RP's own response plan. The RP conducts whatever response actions are necessary and for which their personnel are trained and equipped. The RP is required to have authorized and qualified individuals available 24 hours a day to respond to a spill. The RP should also have sufficient funds available to cover the cost of pollution response to the limit of liability for the vessel or facility. As the priorities of an incident evolve, they often include off-site and environmental concerns. The RP has the lead role in responding to these concerns, under the oversight of state or federal agencies. The RP is also liable for restoring or replacing natural resources which may be injured or lost due to the spill, and should coordinate with the natural resource trustees (via the NRDAR Liaison in the IC) as part of the Natural Resource Damage Assessment & Restoration process. The RP will be represented at the command level of the response organization to represent their interests and to help coordinate assets and response actions. The RP should conduct inquiries into the cause of an incident. This is often done with the participation or oversight of state or federal agencies such as the Occupational Safety and Health Administration or Department of Transportation. The RP should conduct a critique of their response to an incident and revise prevention, preparedness, and response actions and should notify the responsible party does not respond properly, the On-Scene Coordinator shall take appropriate response actions pursuant to the OPA and CWA.			
Local Fire, Police, Sheriff & Hazmat Teams	Local units of government typically have the primary role in protecting the public's safety and property from a spill through police and fire department response. During the initial stages of an incident, when life and safety issues are paramount, local officials (Fire/Police/Sheriff) will be "in charge" of the response to an incident until such time that they decide to enter into a unified command. The state of Minnesota has entered into contracts with several fire departments to provide hazardous materials assessment and response on a regional basis. These teams will not perform cleanup work, but will rather stabilize public safety threats during incidents and turn incidents over to responsible parties or to the state agencies (IL EPA, IA DNR) for cleanup.			
	During the response and recovery stages of an event, the County Emergency Management acts as a liaison between federal, state, and local units of government to promote speedy access to emergency resources and recovery funding.			
Counties & Emergency Management	IEMA coordinates the State's disaster mitigation, preparedness, response and recovery programs and activities, functions as the State Emergency Response Commission, and maintains a 24-hour Communication Center and State Emergency Operations Center (SEOC). The SEOC acts as lead in crisis/consequence management response and operations to notify, activate, deploy and employ state resources in response to any threat or act of terrorism. IEMA assists local governments with multi-hazard emergency operations plans and maintains the Illinois Emergency Operations Plan.			
	The IL EPA Office of Emergency Response (OER) protects the health and safety of the citizens of Illinois during emergency incidents involving the release of oil, hazardous materials or other contaminants, while stabilizing, minimizing or eliminating the environmental consequences to the land, air or waters of the state. The Emergency Operations Unit (EOU), within OER, coordinates IL EPA's response to environmental emergencies and ensures that any environmental contamination is cleaned up.			
Illinois Environmental Protection Agency (IL EPA)	 The Emergency Operations Unit provides many services to other Agencies and the public in the form of: Technical information about identification, chemical properties, toxicity and potential dangers of a given hazardous material Monitoring or testing of air, water, soil or containers Advice about: Containment of hazardous materials Restoration of the environment, including cleanup objectives Evacuation recommendations 			
	 Disposal of treatment of nazaroous materials Oversight to assure completeness of cleanup actions taken by responsible parties Documenting violations of the Illinois Environmental Protection Act for possible legal action Professional personnel, technical assistance and equipment to assist public safety officials 			
Illinois Department of	The IL DNR Office of Law Enforcement supports the Department's programs designed to protect Illinois' natural and recreational resources. Conservation Police Officers (CPO) or Game Wardens are vested with full state-wide police authority and are trained as law enforcement professionals. Although CPOs have full police authority in the enforcement of all Illinois Compiled Statutes, their enforcement mission is to focus upon those laws and activities associated with natural resource protection and recreational safety.			
(IL DNR)	As a natural resource trustee, the IL DNR works with USFWS and other co-trustees to assess damages to restore natural resources (as circumstances allow) lost or injured due to spill. Data acquired would be used to determine the extent of damage to natural resources, to develop restoration or replacement strategies, and to develop and submit a claim for damages to the Responsible Party to implement the most appropriate restoration actions.			
Iowa Department of	The IA DNR Emergency Response and Homeland Security Unit provides technical assistance to local, state, and federal response agencies, regulated industries, and interested public during an incident. The unit coordinates technical assistance and over-site activities at larger incidents with DNR field office staff.			
Natural Resources (IA DNR)	As a natural resource trustee, the IA DNR works with USFWS and other co-trustees to assess damages to restore natural resources (as circumstances allow) lost or injured due to spill. Data acquired would be used to determine the extent of damage to natural resources, to develop restoration or replacement strategies, and to develop and submit a claim for damages to the Responsible Party to implement the most appropriate restoration actions.			

Agencies	General Roles and Responsibilities				
	The USFWS is responsible for the conservation and management of lands and waters within Pool 13 of the Upper Mississippi River National Wildlife & Fish Refuge, migratory birds (co-trustee with the Illinois DNR and Iowa DNR), federally-listed threatened and endangered species, anadromous and inter jurisdictional fishes, and their supporting habitat.				
USFWS	During a response, local USFWS personnel (Refuge Managers, biologists, law enforcement officers) ensure notification of all necessary USFWS personnel, and establish a response protocol delineating specific roles and responsibilities of each USFWS office. Coordination protocol with the IL DNR and IA DNR, and other resource agencies will also be established. Local USFWS personnel provide the responders with specific fish and wildlife habitat information within the Upper Mississippi River National Wildlife and Fish Refuge (UMRNW&FR), and will also provide recommendations for preventing or minimizing spill impacts to the UMRNW&FR, as well as consult on the best locations for response staging areas and access points within the UMRNW&FR, consistent with the response strategies developed for Pool 13. The USFWS, IL DNR and the IA DNR have joint responsibilities for overseeing any activity that involves the handling of wildlife. The USFWS is also a trustee bureau of the U.S. Department of the Interior with Natural Resource Damage Assessment & Restoration (NRDAR) authorities and responsibilities to restore or replace natural resources injured or lost due to spills of oil or releases of hazardous substances. The USFWS fulfills these responsibilities working with co-trustees (e.g., IL DNR, IA DNR) and Responsible Parties as a distinct process coordinated with the emergency response process.				
	US Environmental Protection Agency (EPA) has jurisdiction on the East of the river (i.e. in Illinois). US EPA Region 5 provides Federal On-Scene Coordinators (FOSC) when an incident is federalized for spills originating from a source other than a vessel or marine transportation facility where:				
	 The FOSC is the lead rederal official for spin response. The FOSC is the point of contact for the coordination of federal efforts with those of the local response community. The FOSC with the point of lead remaind uplace activation of federal efforts. 				
US Environmental Protection Agency (US EPA) Region 5	 The FOSC shall, to the extent practicable, collect pertinent facts about the discharge or release, such as its source and cause; the identification of potentially responsible parties; the nature, amount, and location of discharged or released materials; the probable direction and time of travel of discharged or released materials; whether the discharge is a worst case discharge; the pathways to human and environmental exposure; the potential impact on human health, welfare, and safety and the environment; whether the discharge or release poses a substantial threat to the public health or welfare; the potential impact on natural resources and property which may be affected; priorities for protecting human health and welfare and the environment; and appropriate cost documentation. 				
	The FOSC shall ensure that the Natural Resource Trustees are promptly notified of discharges or releases and shall coordinate all response actions with the trustees. The FOSC should ensure that all appropriate public and private interests are kept informed and that their concerns are considered throughout a response, to the extent practicable.				
	US Environmental Protection Agency (EPA) has jurisdiction on the West of the river (i.e. in Iowa). US EPA Region 5 provides Federal On-Scene Coordinators (FOSC) when an incident is federalized for spills originating from a source other than a vessel or marine transportation facility where:				
	 The FOSC is the lead federal official for spill response. The FOSC is the point of contact for the coordination of federal efforts with those of the local response community. The FOSC 				
US Environmental Protection Agency (US EPA) Region 7	 will be in support of local command unless asked to assume control. The FOSC shall, to the extent practicable, collect pertinent facts about the discharge or release, such as its source and cause; the identification of potentially responsible parties; the nature, amount, and location of discharged or released materials; the probable direction and time of travel of discharged or released materials; whether the discharge is a worst case discharge; the pathways to human and environmental exposure; the potential impact on human health, welfare, and safety and the environment; whether the discharge or release poses a substantial threat to the public health or welfare; the potential impact on natural resources and property which may be affected; priorities for protecting human health and welfare and the environment; and appropriate cost documentation. 				
	The FOSC shall ensure that the Natural Resource Trustees are promptly notified of discharges or releases and shall coordinate all response actions with the trustees. The FOSC should ensure that all appropriate public and private interests are kept informed and that their concerns are considered throughout a response, to the extent practicable.				
	 The USCG provides Federal On-Scene Coordinators (FOSC) for oil discharges, when an incident is federalized and the source is either a vessel or marine transport related facility where: The FOSC is the lead federal official for spill response. The FOSC is the point of contact for the coordination of federal efforts with those of the local response community. The FOSC will be in support of local command unless asked to assume control. 				
USCG	 The FOSC shall, to the extent practicable, collect pertinent facts about the discharge or release, such as its source and cause; the identification of potentially responsible parties; the nature, amount, and location of discharged or released materials; the probable direction and time of travel of discharged or released materials; whether the discharge is a worst case discharge; the pathways to human and environmental exposure; the potential impact on human health, welfare, and safety and the environment; whether the discharge or release poses a substantial threat to the public health or welfare; the potential impact on natural resources and property which may be affected; priorities for protecting human health and welfare and the environment; and appropriate cost documentation. The FOSC shall ensure that the Natural Resource Trustees that may be impacted are promptly notified of discharges or releases. The FOSC should ensure that all appropriate public and private interests are kept informed and that their concerns are considered 				
	throughout a response, to the extent practicable.				

Incident Name 2.	Operational Period	to be covered by IAP (Date/ To: <u>OPERATIONAL PERI</u>	Time) IAP <u>OD #1</u> COVER SHEET UMR Pool 13					
INITIAL INCIDENT ACTION PLAN The items below are included in this Incident Action Plan								
- <u>ICS 202</u> Response Objectives.	(Select from list as a	ppropriate)						
- <u>ICS 234</u> Work Analysis Matrix.	- <u>ICS 234</u> Work Analysis Matrix. (Incident specific objectives from ICS 202, strategies and tactics/tasks)							
- <u>ICS 207</u> Organization Chart.	- <u>ICS 207</u> Organization Chart.							
- <u>ICS 204</u> Assignment List. (Fill ir	operations personne	contact name, incident-speci	fic assignments & resource summary)					
- ICS 205 Incident Communication	ns Plan. (Phone/	Radio Contact list)						
- <u>ICS 206</u> Medical Plan. (Medical a	aid stations, hospitals	and emergency procedures)						
- <u>ICS 223</u> Health and Safety Mes	Sage. (General Sa	afety Message and Major Haz	ards/ Risks)					
- <u>ICS 214</u> Unit Activity Log. (Deta	ils of unit activity, inclu	uding team activity or individua	al activity)					
	Other Attack	nments						
The following attachments are not in	cluded in the IAP. Ple	ase check and include additio	nal forms, as needed.					
V	vith the safety policies	and procedures of their respe	ective organizations					
ICS 213 General Message Form (for issuing	approved information	releases from the IC to the JP	PIC. (PIO's))					
ICS 225 Maps / Charts (See Pool 13 Overvie	w Strategy Map and L	<u>JSACE Navigational Charts</u> . S	Select and add maps, as necessary)					
L ICS 232 Resources at Risk Summary (Sensiti Add ta	ve areas list of prioritie ables or maps, as nece	es. See <u>Inland Sensitivity Atla</u> essary)	s Maps & Pool 13 Overview Strategy Map.					
Weather Forecasts / River flow – currents – con	<u>iditions</u>							
	General Inciden	t Summary						
Incident Information and Incident Status (Include Incident location, latitude/longitude, e.	stimated quantity	spilled, spill rate etc.)						
Prepared By: (Name/Title)			Date/Time:					
Approved by: (Name/Title)			Date/Time:					

ICS – 202 INCIDENT OBJECTIVES UMR Pool 13

Ob	Dbjectives				
1.	Develop a response structure.				
2.	Establish Unified Command and establish an Incident Command Post (via. County Emergency Management).				
3.	Ensure the health and safety of the public and response personnel.				
4.	Provide and manage necessary communications.				
5.	Identify the Responsible Party and determine ability to respond to the spill.				
6.	Conduct all the appropriate notifications (especially the USFWS), including notifications to downstream communities.				
7.	Inform and update the community and public.				
8.	Secure the incident scene, restrict river traffic as necessary and secure all evidence.				
9.	Conduct response operations to control/stop the source of the spill, contain, recover or exclude released material in Pool 13 & downstream and minimize response related environmental impacts.				
10.	Evaluate resources-at-risk and protect downstream sensitive resources and minimize response related environmental impacts.				
11.	Coordinate recovery actions and appropriate rehabilitation procedures with natural resource trustees.				
12.	Monitor releases to the environment.				
13.	Use monitoring results to inform and support public safety personnel and the residents including commercial and recreational boating and temporarily moored houseboats.				
14.	Coordinate response actions and resource information with natural resource trustees and the Natural Resource Damage Assessment & Restoration (NRDAR) process.				
15.	Coordinate operations to evaluate impact to Mississippi River Pool 13, and potentially impacted residents, water intakes and resources downstream.				
16.	Conduct cost recovery documentation and coordinate with natural resource trustees and the NRDAR process.				

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ICS – 234 WORK ANALYSIS MATRIX UMR Pool 13

Objectives Desired Outcome		come	Recommended Strategies General Plan and Direction	Recommended Tactics or Tasks How, Who, What, Where, When	Status Operational Period # 1
	OMMAND	Develop a response structure	 Finding out viable Responsible Party Integrate company into the Unified Command (Usually as a Liaison Officer) 	 Determine Responsible Parties (RP) Set strategies and tactics with expected time frame with responsible party and their contractor Hire a response contractor(s) if RP not adequately responding Open Oil Pollution fund to conduct clean up and oversight of RPs if needed 	
	UNIFIED C	Establish Unified Command and establish Incident Command post and/or Emergency Operations Centers	 Integrate organizations into ICS Develop the IAP 	 Develop feasible response alternatives For technical assistance or funding, request help from Federal agencies- USCG or US EPA For technical assistance, request help from State agencies- IL EPA, IL DNR and/or IA DNR 	
				Review data with health experts	
	PUBLIC SAFETY AFFAIRS SAFETY	Ensure protection of health and safety of the public and response personnel	 Establish road, rail, and airspace controls Establish shore land perimeter control areas Temporally shut down public boat launches and tour boats if necessary 		
			 Develop and implement an all-agency staff safety plan Written HASP (if hazmat or more than one operational period) Prepare Boat Safety Check List and Float Plan for boat crews 	Assign a safety officer (s)	
		Ensure decision makers and the public are fully informed throughout the incident response	 Evacuation / Shelter-in-place and warnings of citizens at risk Notify and evacuate if necessary house boats and boat house owners and occupants 	 Local law enforcement, USCG, USFWS law enforcement and state conservation officers and wardens temporally shut down impacted areas, boat ramps, and other sites used by the public USCG temporally shut down river traffic if necessary County and state emergency managers and local Sheriff provide emergency communications to impacted public 	
	IAISON	Provide Notification to all impacted communities, states, federal agencies including downstream	 Ensure notifications to principal partners, local, state, federal responders and land owners such as USFWS and any tribes. Ensure notifications to downstream states, municipalities, drinking water intakes, and economically sensitive businesses 	 Assign person to track proper notifications Contact private and public water supply utilities. Follow Upper Mississippi Response Plan Notification List (UMR field guide and draft IAP phone list) Conduct spill trajectory and time-of-travel to predict downstream impacts 	
		notifications		 Issue Federal Notice of Interest to RPs USFWS and IL EPA, IL DNR and/or IA DNR identifies the liaison representing the natural resource trustees 	
	PUBLIC INFORMATIONTION	Inform and Update the community and public	 Establish interagency and JPIC - PIO liaisons. Develop a multi-agency communications plan Provide Information resources to all organization needs Provide information resources to ICPs and EOCs (and JPIC) Provide timely situation updates to SEOC or local EOCs 	 Assign Public Information Officers to specific locations Submit updates and situation reports at requested times (sitreps) Provide Governor's briefings Reactive: respond to media inquiries 	

Objectives Desired Outcome		come	Recommended Strategies General Plan and Direction	Recommended Tactics or Tasks How, Who, What, Where, When	Status Operational Period # 1
	LAW ENFORCEMENT	Secure the incident scene and secure all evidence	 Establish river traffic control, river-traffic evacuation, no- boating area. Secure scene immediately Collect evidence Prevent or minimize movement of evidence 	 USCG / Sheriff lead on River Traffic Mgmt. Police / Sheriff lead on road and rail traffic control Collect photo evidence and document the scene Coordinate with USFWS law enforcement and state conservation officers and wardens to ensure collection and storage of evidence to enforce federal and state wildlife laws 	
	CONTAIN RELEASE	Conduct operations to stop the spill, contain, recover or exclude released material in Pool 13 and downstream	 Mobilize company responders, local spill COOP, first responders, county emergency government and hazmat teams, state and federal responders and their contractors Utilize company and locally stored equipment such as oil spill boom, sorbents, tanker trucks, vacuum units, oil collection equipment or pre-staged response equipment Mobilize local personnel and resources Activate Spill Response Contractors (if company response too slow or inadequate) Activate oil boom collection and recovery plan 	 Contain and stabilize oil-sources. Establish ignition source controls in hot zone Safely collect materials without creating nuisance conditions Implement an oil recovery plan Establish parameter and hot zone Deflect spilled material into Lock Chamber for collection. Utilize Lock and Dam Master and provide formal request from Federal OSC Utilize barges to divert or collect spilled material Evaluate the control of river level to facilitate spilled product collection and control (note: changing levels can cause larger smear zones) 	
OPERATION SECTION	RECOVER & REHABILITATE	Implement recovery actions and appropriate rehabilitation procedures with natural resource trustees	Establish Operations Section Wildlife Branch to respond to oiled wildlife	 USFWS and/or state resource managers will implement the following Plans, as necessary: "Wildlife Reconnaissance and Recovery Plan" to guide the finding and capture of oiled animals "Wildlife Stabilization and Transport Plan" to provide initial veterinary care for recovered oiled wildlife and to transport animals to rehabilitation areas USFWS and/or state resource managers will oversee wildlife care and rehabilitation of oiled wildlife, as necessary 	
	ECONNAISSANCE & MONITORING	Conduct operations to monitor releases to the environment to support public safety personnel and the residents including commercial and recreational boating, and temporarily	 Reconnaissance by IC organization staff, (reminder need safety plan) Reconnaissance by compilation of information by others Joint reconnaissance with local authorities Air- flight reconnaissance and reporting 	 Establish & deploy Reconnaissance Team(s) Consider variety of resources for reconnaissance such as local, state, federal or private air recon, UASCE resources for reconnaissance, USACE Hydraulics personnel, NOAA, or private resources to provide spill trajectory's Wildlife Reconnaissance and Recovery Teams provide real time field situational updates on the location of oil and related environmental impacts to the Planning Section Environmental Unit to help direct Shoreline Cleanup Assessment Technique (SCAT) 	
	RI	moored houseboats	 Conduct air monitoring and water sampling Conduct monitoring with the Planning Section's Environmental Unit and the NRDAR Liaison. 	 Conduct perimeter air sampling, water sampling at scene and down river Provide environmental monitoring data and information as part of regular IC briefings, which include the Environmental Unit and the NRDAR Liaison 	

Objectives Desired Outcome		es Dutcome	Recommended Strategies General Plan and Direction	Recommended Tactics or Tasks How, Who, What, Where, When	
	VIRONMENTAL	Establish a wildlife branch within Operations section and an Environmental unit within planning section if oiled wildlife is observed	 Establish an Environmental Unit within the Planning Section, with an emphasis on "Resources at Risk Specialists" Establish a Wildlife Branch within the Operations Section if oiling of wildlife is observed or predicted 	 Request assistance from the USFWS and IL EPA, IL DNR, and/or IA DNR. Open up the Oil Spill Liability Trust Fund (OSLTF) for a Pollution Removal Fund Authorization (PRFA). Schedule an oiled-wildlife tactics meeting Initiate Endangered Species Act Section 7 emergency consultation. Establish linkage between Planning Section Environmental Unit and the Operations Sections for technical assistance on methods to avoid and minimize response related injury to natural resources. 	
	EN	oned whome is observed	 Conduct spill modeling and spill trajectories Develop a monitoring plan for water quality 	 Provide info on how to assess to local authorities Contact air & water experts on agency call back lists (examples include hydraulic personnel, state water division contacts, biologists) 	
PLANNING SECTION	RESOURCES AT RISK	Evaluate resources at risk and protect sensitive resources in Pool 13 and downstream	Planning Section's Environmental Unit identifies and evaluates sensitive resources. Establish a NRDAR Liaison in the Incident Command Coordinate response plans and actions with the natural resource trustees and the Natural Resource Damage Assessment & Restoration process (NRDAR)	 □ USFWS and state resource managers will identify and evaluate sensitive natural resources, including seasonal and site-specific conditions □ USFWS and state resource managers will make a preliminary determination of the extent to which planned response actions may affect natural resources and suggest measures to avoid and minimize impacts. □ USFWS and state resource managers will recommend and advise implementation of Response Strategies developed for Pool 13 □ USFWS and state resource managers will develop a "Wildlife Reconnaissance and Recovery Plan" if oiled wildlife is observed or predicted, as necessary □ USFWS and state resource managers will develop a "Wildlife Hazing Plan" if wildlife is attracted to oiled habitats, as necessary □ USFWS and/or state resource managers will develop and assist implementation of a "Wildlife Stabilization and Transport Plan" to provide initial veterinary care for recovered oiled wildlife and to transport Plan" to provide initial veterinary care for recovered oiled wildlife resource managers will develop and assist implementation of a "Wildlife rehabilitation Plan" for oiled wildlife, as necessary □ USFWS and/or state resource managers will develop and assist implementation of a "Wildlife rehabilitation Plan" for oiled wildlife, as necessary □ USFWS and/or state resource managers will develop and assist implementation of a "Wildlife rehabilitation Plan" for oiled wildlife, as necessary □ See Pool 13 Site-Specific Response Strategies (Overview Map) and ISA Maps included in the Pool 13 GRP CD □ Request the NRDAR Liaison to coordinate the natural resource trustees to make a preliminary determination of the need for emergency restoration □ Coordinate collection, analysis, and results (including photo documentation) of environmental media (soils, sediments, water, etc.) and dead or dying fish and wildlife with the natural resource trustee	
	JMENTATION	Ensure proper Documentation of the incident	Establish a documentation unit to properly document response (at command post)	collect ephemeral data. Coordinate collection and documentation of evidence with USFWS, IL EPA, and IA DNR (as applicable) for respective fish and wildlife enforcement actions and potential NRDAR actions Collect agency evidence for identified enforcement issues Track expenses for cost recovery	
	DOCI		Data management for ICS	Assign staff to create maps, track personnel, catalog resources, etc.	
	RESOURCES	Acquisition and delivery of resources such as equipment, materials etc.	Develop a river traffic management plan	 Provide personnel with adequate safety equipment and initial and daily briefings Provide clear guidance that each organization is responsible for safety protocol in first operational period 	

Objectives Desired Outcome		come	Recommended Strategies General Plan and Direction	Recommended Tactics or Tasks How, Who, What, Where, When	Status Operational Period # 1
7	INCIDENT COMMAND POST	Establish incident facilities including the command post, staging areas & other facilities as incident develops	If HUMAN INJURY occurs- Immediately establish a Medical/EMS section in ICS	Consider establishing medical/EMS if persons threatened w/exposure	
LOGISTICS SECTIOI	COMMUNICATION	Provide and manage communications	Implement and maintain communications with all incident command posts, emergency operations centers and responders	 Assign a communications coordinator (unit leader), make a phone list, etc. Request additional communication resources if needed 	
	PROCUREMENT	Ensure procurement of materials and supplies & administer accounts receivable and payable to contract and noncontract vendors	Establish a Procurement unit and Ensure procurement of materials and supplies	 Ensure separate accounting of all contracts specifically related to the emergency incident and of all purchases within the enactment of the emergency incident management plan. Obtain authorization to initiate and finalize purchases Interpret and initiate contracts/agreements to minimize costs Maintain log of all purchases related to the incident and initiate the Procurement Summary Report 	
		·			

ICS – 207 INCIDENT ORGANIZATION CHART UMR Pool 13

Initial Command Structure for the first operational period of an oil spill. Click here to have a workable and printable version of the Organization Chart



1. Incident name:	2. Operational Period	#1	ICS – 204 ASSIGNMENT
	3. Section	Operations	LIST
	4. Division/Group	Law Enforcement	UMR Pool 13

5. Agencies Involved in Law Enforcement Group

Agencies that might play a role in Law Enforcement Group:

- Counties & Emergency Management
- Local Fire, Police, Sheriff & Hazmat Teams
- US Fish and Wildlife Service (USFWS)
- US Coast Guard (USCG)

Agency General Roles and Responsibilities: Refer to the Roles and Responsibilities Table provided in this Incident Action Plan

6. Recommended Strategies and Tactics

- Establish river traffic control, river-traffic evacuation, no-boating area. USCG / Sheriff lead on River Traffic Mgmt.
- Secure scene immediately- Police / Sheriff lead on road and rail traffic control
- Collect photo evidence and document the scene
- Coordinate with USFWS law enforcement and state conservation officers and wardens to ensure collection and storage of evidence to enforce federal and state wildlife laws

7. Incident-Specific Assignments

9. Operations	s Personnel										
	Title		Name	Affiliation		Eme	ergency	Contac	:t #		Contact # (s)
Communicat	ions: Refer to the <u>Pool</u> 1	13 Emerge	ency Contact List prov	vided in this Incider	nt Acti	on Plan					
10. Resource	Summary (see <u>UMR Sp</u>	oill Respor	nse Plan and Resourc	<mark>e Manual</mark> for inform	nation	on Spill	Respo	nse and	l Clean	up Re	esources)
ID	Resource Type	e	Description	/ Location	Qu	antity	Si	ize	Stat	us	Notes/ Comments
11. Additiona	I Information				1		1				
Prepared By: (Name/Title)								Date/Tir	ne:		
Approved by (Name/Title)	:							Date/Tir	ne:		

1. Incident name:	2. Operational Period	#1	ICS – 204 ASSIGNMENT			
	3. Section	Operations	LIST			
	4. Division/Group	Containment	UMR Pool 13			

5. Agencies Involved in Contain Release Group

Agencies that might play a role in Containment Group:

- Responsible Party/Facility/Industry/Contractors
- Counties & Emergency Management
- Local Fire, Police, Sheriff & Hazmat Teams
- Illinois Environmental Protection Agency (IL EPA)
- Illinois Department of Natural Resources (IL DNR)
- Iowa Department of Natural Resources (IA DNR)
- US Fish and Wildlife Service (USFWS)
- US Environmental Protection Agency (US EPA) Region 5/Region 7
- US Coast Guard (USCG)

Agency General Roles and Responsibilities: Refer to the Roles and Responsibilities Table provided in this Incident Action Plan

6. Recommended Strategies and Tactics

- Mobilize company responders, local spill COOP, first responders, county emergency government and hazmat teams, state and federal responders and their contractors
- Utilize company and locally stored equipment such as oil spill boom, sorbents, tanker trucks, vacuum units, oil collection equipment or pre-staged response equipment
- Mobilize local personnel and resources
- Activate Spill Response Contractors (if company response too slow or inadequate)
- Activate and implement oil boom collection and recovery plan
- Contain and stabilize oil-sources.
- Establish ignition source controls in hot zone
- Safely collect materials without creating nuisance conditions
- Deflect spilled material into Lock Chamber for collection. Utilize Lock and Dam Master and provide formal request from Federal OSC
- Utilize barges to divert or collect spilled material
- Evaluate the control of river level to facilitate spilled product collection and control (note: changing levels can cause larger smear zones)

7. Incident-Specific Assignments

9. Operations	9. Operations Personnel									
	Title	Name	Affiliatio	n	Emergency Co	ontact #	Contact # (s)			
Communicat	ions: Refer to the <u>Pool 13</u>	<u>B Emergency Contact List</u> pro	ovided in this Incide	nt Action Plai	1					
10. Resource	e Summary (see <u>UMR Spi</u>	I Response Plan and Resou	<u>rce Manual</u> for inforn	nation on Spi	II Response and	d Cleanup R	Resources)			
ID	Resource Type	Descriptio	Description/ Location		Size	Status	Notes/ Comments			
11. Additiona	al Information									
Prepared By:	:				Date/Ti	me:				
Approved by (Name/Title)	r:				Date/Ti	me:				

1. Incident name:	2. Operational Period	# 1	ICS – 204 ASSIGNMENT		
	3. Section	Operations	UMR Pool 13		
	4. Division/Group	Recovery & Rehabilitation			

5. Agencies Involved in Contain Release Group

Agencies that might play a role in Recovery and Rehabilitation Group:

- Responsible Party/Facility/Industry/Contractors
- Illinois Environmental Protection Agency (IL EPA)
- Illinois Department of Natural Resources (IL DNR)
- Iowa Department of Natural Resources (IA DNR)
- US Fish and Wildlife Service (USFWS)

Agency General Roles and Responsibilities: Refer to the Roles and Responsibilities Table provided in this Incident Action Plan

6. Recommended Strategies and Tactics

- Establish Operations Section Wildlife Branch to respond to oiled wildlife
 - USFWS and/or state resource managers will implement the following Plans, as necessary:
 - "Wildlife Reconnaissance and Recovery Plan" to guide the finding and capture of oiled animals
 - "Wildlife Stabilization and Transport Plan" to provide initial veterinary care for recovered oiled wildlife and to transport animals to rehabilitation areas
 - "Wildlife Hazing Plan" if wildlife is attracted to oiled habitats
 - USFWS and/or state resource managers will oversee wildlife rehabilitation according to the Wildlife Rehabilitation Plan
- USFWS and/or state resource managers will manage the wildlife morgue
- USFWS and/or state resource managers will salvage dead wildlife
- USFWS and/or state resource managers will report location of oil, high wildlife use areas, and protection needs for sensitive natural resources.
- USFWS and/or state resource managers will provide daily update of number of dead and oiled wildlife
- USFWS and/or state resource managers will order the necessary resources (supplies, equipment, and gear from the Logistics Section)

7. Incident-Specific Assignments

9. Operations Personnel										
	Title	Name	Affiliation	n	Emergency Co	ontact #	Contact # (s)			
Communicat	ions: Refer to the <u>Pool 13</u>	<u>B Emergency Contact List pro</u>	ovided in this Incide	nt Action Plai	n					
10. Resource	e Summary (see <u>UMR Spi</u>	II Response Plan and Resour	r <u>ce Manual</u> for inforn	nation on Spi	II Response and	d Cleanup F	Resources)			
ID	Resource Type	Descriptio	Description/ Location		Size	Status	Notes/ Comments			
11. Additiona	al Information									
Prepared By:					Date/Ti	me-				
(Name/Title) Approved by (Name/Title)	r:				Date/Ti	me:				

1. Incident name:	2. Operational Period	#1	ICS – 204 ASSIGNMENT		
	3. Section	Operations	UMR Pool 13		
	4. Division/Group	Reconnaissance & Monitoring			
		•			

5. Agencies Involved in Contain Release Group

Agencies that might play a role in Reconnaissance and Monitoring Group:

- Responsible Party/Facility/Industry/Contractors
- Illinois Environmental Protection Agency (IL EPA)
- Illinois Department of Natural Resources (IL DNR)
- Iowa Department of Natural Resources (IA DNR)
- US Fish and Wildlife Service (USFWS)
- US Environmental Protection Agency (US EPA) Region 5/Region 7
- US Coast Guard (USCG)

Agency General Roles and Responsibilities: Refer to the Roles and Responsibilities Table provided in this Incident Action Plan

6. Recommended Strategies and Tactics

- Establish & deploy Reconnaissance Team(s)
- Reconnaissance by IC organization staff, (reminder need safety plan)
- Reconnaissance by compilation of information by others
- Joint reconnaissance with local authorities
- Air- flight reconnaissance and reporting
- Consider variety of resources for reconnaissance such as local, state, federal or private air recon, UASCE resources for reconnaissance, USACE Hydraulics personnel, NOAA, or private resources to provide spill trajectory's
- Wildlife Reconnaissance and Recovery Teams provide real time field situational updates on the location of oil and related environmental impacts to the Planning Section Environmental Unit to help direct Shoreline Cleanup Assessment Technique (SCAT)
- Conduct air monitoring and water sampling. Conduct perimeter air sampling, water sampling at scene and down river
- Conduct monitoring with the Planning Section's Environmental Unit and the NRDAR Liaison.
- Provide environmental monitoring data and information as part of regular IC briefings, which include the Environmental Unit and the NRDAR Liaison

7. Incident-Specific Assignments

9. Operations Personnel										
	Title	Name	Affiliation	n	Emergency Co	ontact #	Contact # (s)			
Communicat	ions: Refer to the <u>Pool 13</u>	<u>B Emergency Contact List</u> pr	ovided in this Incide	nt Action Pla	n					
10. Resource	e Summary (see <u>UMR Spi</u> l	II Response Plan and Resou	rce Manual for inform	nation on Spi	II Response and	d Cleanup F	Resources)			
ID	Resource Type	Descriptio	Description/ Location		Size	Status	Notes/ Comments			
11. Additiona	I Information									
Prepared Bv										
(Name/Title) Approved by	:				Date/Ti	me:				
(Name/Title)					Date/Ti	me:				

Incident name:	Operatio	onal Period#	¢1	ICS – 205 INCIDENT COMMUNICATION PLAN
	From		То	UMR Pool 13

Position	Name	Phone	e-mail	Current Location
Incident Commander and Staff				
Incident Commander(s)				
Safety Officer				
Information Officer				
Liaison Officer				
Water Operations Section Chief				
Land-Source Section Chief				
Wildlife Branch Section Chief				
Logistics Section Chief				
Planning Section Chief				
Law Enforcement Section Chief				
Prepared By: (Name/Title)			Date/Time:	
Approved By: (Name/Title)			Date/Time:	

UMR POOL 13 EMERGENCY CONTACT LIST

NAME	ORGANIZATION	Emergency #	Office Phone	E-Mail	Other(Radio)
Local	-			-	• •
911					
Lieutenant Colin Fulrath	Jo Daviess County EM	911	815-777-2141	cfulrath@jodaviess.org	
Gregory Miller	Carroll County EM	911/815-631-8844	815-244-9171	gsvmmiller@frontiernet.net	
Jim Wetzell	Whiteside County EM	911	815-772-4044	esda@whiteside.org	
Chief Chris Roling	Bellevue Fire Department	911/ 563-542-8766	563-872-4949	savilfire@yahoo.com	
Chief Shawn Picolotti	Savanna Fire Department	911	815-273-2246	bfd@ivuenet.com	
Private	•				
Jim Cunningham	BNSF Railroad	612- 817-1587	913- 551-3990	James.Cunningham@bnsf.com	
Michael Woolridge	BNSF Railroad		763-782-3483	michael.woolridge@BNSF.com	
Chad Livingston	DM&E Railroad		563-441-5921	chad_livingston@cpr.ca	
State	I	I		1	
Illinois Duty Officer	IL D.O.	217-782-7860	217-782-7860		
Iowa Duty Officer	IA D.O.	515-281-8694	515-281-8694		
Dan Sallee	IL DNR		815-625-2968	Dan.Sallee@illinois.gov	
Ed Anderson	IL DNR		815-273-3184	ed.a.anderson2@illinois.gov	
Field Office 1 Manchester	IA DNR	563-927-2640	563-927-2640		
Field Office 6 Washington	IA DNR	319-653-2135	319-653-2135		
Michael Griffin	IA DNR		563-872-5700	michael.griffin@dnr.iowa.gov	
Mike Steuck	IA DNR	563-590-3808	563-872-4976	michael.steuck@dnr.iowa.gov	
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Federal	NDC	1 000 404 0000	4 000 404 0000		
National Response Center		1-800-424-8802	1-800-424-8802		
US Department of the Interior		215-266-5155	215-266-5155		
US EPA Region 5		312-353-2318	312-353-2318		
US EPA Region 7		913-281-0991	913-281-0991		
Lock Master LD12	USACE	563-872-3314	563-872-3314		
LOCK Master LD13	USACE	815-589-3313	815-589-3313		
Sector Upper Mississippi River	USCG	1-866-360-3386	314-704-9502		
USCG Marine Safety Office	USCG	1-866-360-3386	612-725-1871		_
Ann Whelan	EPA	312-802-1405	312-886-7258	Whelan.Ann@epamail.epa.gov	-
Steve Faryan	EPA	312-802-0507	312-353-9351	faryan.steven@epa.gov	_
Randy Urich	USACE		507-895-6341	randall.r.urich@usace.army.mil	_
Scott R Pettis MVR	USACE		309-794-5851	Scott.R.Pettis@usace.army.mil	
LTJG Christine Brown	USCG		309-782-0627	christine.s.brown@uscg.mil	_
Darryn Witt	USFWS	815-541-4607	815-273-2732 x14	darryn_witt@fws.gov	
Ed Britton	USFWS	815-541-4598	815-273-2732 x11	Ed_Britton@fws.gov	
Mike Coffey	USFWS	563-508-4719	309-757-5800 x206	Michael_Coffey@fws.gov	
Russ Engelke	USFWS	815-541-1385	815-273-2732 x13	Russell_Engelke@fws.gov	
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1. Incident name:	2. Opera	tional Period# 1		ICS – 206 MEDICAL PLAN
	From		То	UMR Pool 13

2 Eirct Aid Stations							
S. FIISLAIU SIAUOIIS							
Name	Location	Y	EMT (C	Dn-Site) N	0	Phone	Radio
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]		
4. Transportation (Ground and/	or Ambulance Services)						
Name	Location	EMT (On-Site)				Phone	Radio
		Y	Yes No		0		
]		
5. Hospitals							
Name	Location	Helipad		Burn (Center	Phone	Radio
		Yes	No	Yes			
6. Special Medical Emergency F	Procedures						
Prepared By: (Name/Title)					Date	/Time:	
Approved by: (Name/Title)					Date	/Time:	

1. Incident name:	2. Operational Period# 1			ICS – 223 HEALTH AND SAFETY MESSAGE
	From		То	UMR Pool 13

3. Potential Hazards	
4. General Safety Concerns	
····· , ···· ,	
5. Additional Information	
Prepared By:	Date/Time:
(Name/Title)	
(Name/Title)	Date/Time:

1. Incident name:	2. Operational Period#	1	
	From	То	ICS – 214 UNIT ACTIVITY LOG
3. Unit name:	4. Unit Leader:		UMR Pool 13

5. Instructions for completing the form							
Field #	Field Title	Instructions					
1.	Unit Name	For individuals: Enter tactical call (e.g. Checkpoint #, County EOC, etc.) or position name					
		For teams: Enter the name of the organization unit or tactical call sign or resource designator					
2.	Unit Leader	For individuals: Enter your name and call sign					
		For teams: Enter the name, call sign and ICS position of the individual in charge of the unit					
3	Personnel Assigned	For individuals: Lea	For individuals: Leave blank				
5. Personner Assigned		For teams: List the name, ICS position and home base/city of each member assigned to the unit during the operation period					
		Time: Enter the local time 24-hour format					
4.	Activity Log	LOG Activity: Briefly describe each significant activity or event (e.g. task assignments, task completions, in difficulties encountered, etc.).					
6. Personnel Assigned							
NAME			ICS POSITION	HOME BASE/ CITY			
7. Activity Log							
ТІМ	E	MAJOR EVENTS					

Prepared By: (Name/Title)	Date/Time:
Approved by: (Name/Title)	Date/Time: