UPPER MISSISSIPPI RIVER POOL 19 INITIAL INCIDENT ACTION PLAN (IAP)



This Initial Incident Action Plan is developed to aid in initiating a timely and effective response to spills of oil and other hazardous materials in Pool 19 of the Upper Mississippi River. It is intended to be used during Operational Period # 1 of response only at the discretion of the Incident Commander. It is not intended to supercede the direction of the Incident Commander or eliminate the need for ongoing communication during a response.

ORG	NAME		DATE/TIME
		First Local IC (911, Fire Dept., County Emergency Mgr.)	
		First Responding State (IL EPA or IA DNR)	
		FOSC; USCG (Vessel or facility), EPA (facility, train or other)	
		USFWS Lead Representative	
		IL DNR /IA DNR Fish and Wildlife Sections Lead Representative	

Approved by Incident Commander(a)

Upper Mississippi River Refuge, Pool 19 INITIAL INCIDENT ACTION PLAN

EXECUTIVE SUMMARY

In order to best prepare for oil and hazardous material spills in Pool 19 of the Upper Mississippi River, an interagency team comprised of representatives from the US Environmental Protection Agency (US EPA), US Fish and Wildlife Service (USFWS), US Coast Guard, and other federal, state, local agencies, and private sector, identified the need for a specialized planning document that would: 1) describe the roles that agencies and other entities would likely play in an incident, and 2) give responders a mechanism to help organize both in advance and during a response. This planning tool would also help multiple agencies to coordinate their operations and resources and make effective and efficient use of their personnel, supplies and time.

To help meet these needs, the enclosed Incident Action Plan (IAP) document was created. The plan has been tailored to identify the forms most likely to be used in a Pool 19 response and includes potential objectives for Pool 19 as well as a detailed emergency contact list. The plan provides a concise and coherent means of capturing and communicating the overall incident priorities, objectives and strategies in the context of both operational and support activities.

The IAP was developed to aid the implementation of the Incident Command System (ICS). During an incident, the ICS emphasizes orderly and systematic planning and this document is intended to be the central tool for planning during the Operational Period #1 of response at the discretion of the Incident Commander (IC). The plan is not intended to supersede the direction or authority of the IC, or to preclude communication or flexibility in response. Incidents vary in their kind, complexity, size and requirements for detailed or written plan. The level of detail required in an Incident Action Plan will vary according to the size and complexity of the response. After the operational period #1 of an incident, it is expected that this IAP will be superseded by a response specific IAP as needed.

The IAP was developed to be consistent with, and support, existing plans and procedures including the Region 5 RCP/ACP, the Upper Mississippi River Spill Response Plan, the Upper Mississippi River National Wildlife and Fish Refuge Spill Contingency Plan and Pool 19 Response Strategies, state response plans and local response plans.

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Incident Action Planning Process

In the Incident Command System (ICS), considerable emphasis is placed on developing effective Incident Action Plans (IAP). A planning process has been developed as a part of the ICS to assist planners in the development of a plan in an orderly and systematic manner. Not all incidents require detailed written plans. Recognizing this, the following planning process provides a series of basic planning steps, which are generally appropriate for use in any incident situation. The determination of the need for written IAPs and attachments/forms is based on the requirements of the incident, and the judgment of the Incident Commander. The Planning Section Chief prepares the Incident Action Plan with input from the appropriate sections and units of the Incident Management Team. It should be written at the outset of the response and revised continually throughout the response.

The diagram below shows the process and steps involved to develop an IAP. The IAP included in this document is intended to help guide response through the Operational Period #1 cycle of an incident on Pool 19 of the Upper Mississippi River.





NOTE: The cycle above assumes the first operational period. It can be adjusted to operational shifts of any duration.

Agencies	General Roles and Responsibilities
Responsible Party/Facility/Industry	The spiller, or responsible party (RP), has the primary responsibility to conduct spill cleanup, following the procedures listed in the facility response plan. The first response action of the RP is making notification of an incident to appropriate other responders of the incident, according to law and the RP's own response plan. The RP conducts whatever response actions are necessary and for which their personnel are trained and equipped. The RP is required to have authorized and qualified individuals available 24 hours a day to respond to a spill. The RP should also have sufficient funds available to cover the cost of pollution response to the limit of liability for the vessel or facility. As the priorities of an incident evolve, they often include off-site and environmental concerns. The RP has the lead role in responding to these concerns, under the oversight of state or federal agencies. The RP is also liable for restoring or replacing natural resources which may be injured or lost due to the spill, and should coordinate with the natural resources trustees (via the NRDAR Liaison in the IC) as part of the Natural Resource Damage Assessment & Restoration process. The RP will be represented at the command level of the response organization to represent their interests and to help coordinate assets and response actions. The RP should conduct inquiries into the cause of an incident. This is often done with the participation or oversight of state or federal agencies such as the Occupational Safety and Health Administration or Department of Transportation. The RP should conduct a critique of their response to an incident and revise prevention, preparedness, and response measures accordingly. If the responsible party does not respond properly, the On-Scene Coordinator shall take appropriate response actions and should notify the responsible party of the potential liability for federal response costs incurred by the On-Scene Coordinator pursuant to the OPA and CWA.
Local Fire, Police, Sheriff & Hazmat Teams	Local units of government typically have the primary role in protecting the public's safety and property from a spill through police and fire department response. During the initial stages of an incident, when life and safety issues are paramount, local officials (Fire/Police/Sheriff) will be "in charge" of the response to an incident until such time that they decide to enter into a unified command. These teams will not perform cleanup work, but will rather stabilize public safety threats during incidents and turn incidents over to responsible parties or to the state agencies (IL EPA, IA DNR) for cleanup.
Counties & Emergency Management	During the response and recovery stages of an event, the County Emergency Management acts as a liaison between federal, state, and local units of government to promote speedy access to emergency resources and recovery funding. Illinois EMA coordinates the State's disaster mitigation, preparedness, response and recovery programs and activities, functions as the State Emergency Response Commission, and maintains a 24-hour Communication Center and State Emergency Operations Center (SEOC). The SEOC acts as lead in crisis/consequence management response and operations to notify, activate, deploy and employ state resources in response to any threat or act of terrorism. IEMA assists local governments with multi-hazard
Illinois Environmental Protection Agency (IL EPA)	 emergency operations plans and maintains the Illinois Emergency Operations Plan. The IL EPA Office of Emergency Response (OER) protects the health and safety of the citizens of Illinois during emergency incidents involving the release of oil, hazardous materials or other contaminants, while stabilizing, minimizing or eliminating the environmental consequences to the land, air or waters of the state. The Emergency Operations Unit (EOU), within OER, coordinates IL EPA's response to environmental emergencies and ensures that any environmental contamination is cleaned up. The Emergency Operations Unit provides many services to other Agencies and the public in the form of: Technical information about identification, chemical properties, toxicity and potential dangers of a given hazardous material Monitoring or testing of air, water, soil or containers Advice about: Containment of hazardous materials Restoration of the environment, including cleanup objectives Evacuation recommendations Disposal or treatment of hazardous materials Oversight to assure completeness of cleanup actions taken by responsible parties Documenting violations of the Illinois Environmental Protection Act for possible legal action Professional personnel, technical assistance and equipment to assist public safety officials
Illinois Department of Natural Resources (IL DNR)	The IL DNR Office of Law Enforcement supports the Department's programs designed to protect Illinois' natural and recreational resources. Conservation Police Officers (CPO) or Game Wardens are vested with full state-wide police authority and are trained as law enforcement professionals. Although CPOs have full police authority in the enforcement of all Illinois Compiled Statutes, their enforcement mission is to focus upon those laws and activities associated with natural resource protection and recreational safety. As a natural resource trustee, the IL DNR works with USFWS and other co-trustees to assess damages to restore natural resources (as circumstances allow) lost or injured due to spill. Data acquired would be used to determine the extent of damage to natural resources, to develop restoration or replacement strategies, and to develop and submit a claim for damages to the Responsible Party to implement the most appropriate restoration actions.
Iowa Department of Natural Resources (IA DNR)	The IA DNR Emergency Response and Homeland Security Unit provides technical assistance to local, state, and federal response agencies, regulated industries, and interested public during an incident. The unit coordinates technical assistance and over-site activities at larger incidents with DNR field office staff. As a natural resource trustee, the IA DNR works with USFWS and other co-trustees to assess damages to restore natural resources (as circumstances allow) lost or injured due to spill. Data acquired would be used to determine the extent of damage to natural resources, to develop restoration or replacement strategies, and to develop and submit a claim for damages to the Responsible Party to implement the most appropriate restoration actions.

Agencies	General Roles and Responsibilities
	The USFWS is responsible for the conservation and management of lands and waters within several wildlife and fish refuges along the Upper Mississippi River, migratory birds, federally-listed threatened and endangered species, and inter jurisdictional fishes, and the supporting habitats for these species. The USFWS with the IA DNR and the IL DNR have joint responsibilities for overseeing any activity that involves the handling of wildlife. The USFWS is also a trustee bureau of the U.S. Department of the Interior with Natural Resource Damage Assessment & Restoration (NRDAR) authorities and responsibilities to restore or replace natural resources injured or lost due to spills of oil or releases of hazardous substances. The USFWS fulfills these NRDAR responsibilities working with co-trustees (e.g., IA DNR, IL DNR) and Responsible Parties as a distinct process coordinated with the emergency response process.
	 <u>USFWS Port Louisa National Wildlife Refuge and Great River National Wildlife Refuge (Refuges)</u> The Refuges have no direct management authority within Pool 19. Port Louisa NWR lies within Pool 18 and part of Great River NWR lies within Pool 20. See <u>http://www.fws.gov/refuge/port_louisa/</u> and <u>http://www.fws.gov/refuge/great_river/</u> for information and maps.
	 The Refuge Manager, Assistant Manager, or Law Enforcement Officer is the initial Refuge point of contact for any spill response in Pool 19. The Manager, Assistant Manager, or Law Enforcement Officer will ensure that all other appropriate Refuge personnel are contacted and notified.
USFWS	 During a response, the Manager (or designee) will establish a response protocol delineating specific roles and responsibilities of Refuge personnel. Coordination protocol with the IA DNR and IL DNR, and other resource agencies will also be established.
	 Refuge personnel will provide responders with known information on fish, wildlife, and habitat resources within Pool 19, and will provide recommendations for preventing or minimizing spill impacts to wildlife and habitat.
	During a response occurring on USFWS lands, USFWS should be involved in the decision-making processes by serving as a Unified Commander. USFWS will also provide responders with: information about wildlife and fishery resources in Pool 19, recommendations for preventing/minimizing spill impacts to Refuge resources, assistance to identify response staging and access areas within the Refuge, and participation in Shoreline Cleanup and Assessment Technique teams (SCAT). USFWS will also fulfill endangered species consultation responsibilities or assist obtaining other required federal wildlife permits, as necessary. USFWS may also assist operations supporting or overseeing reconnaissance, transport, recovery, salvage, deterrent, and rehabilitation of wildlife. USFWS provides this assistance in partnership with the IA DNR, IL DNR, and/or USDA Wildlife Branch (Operations), Environmental Unit (Planning), and as an Agency Representative (Command). The USFWS will also restore fish and wildlife resources impacted by spills through the Department of the Interior's Natural Resource Damage Assessment (NRDA) trustee authorities. NRDA actions are completed in coordination with, but separately from, response assistance actions.
US Department of Agriculture, Animal Plant Health Inspection Service, Wildlife Services (USDA WS)	USDA APHIS Wildlife Services has no intrinsic authorities of its own that directly apply to wildlife issues in a chemical or oil spill event. It does however, because of its other wildlife expertise, have extensive operational and technical capabilities to assist with proper humane capture, handling, hazing, transport, and other issues that typically arise in oil spill situations. The Agency implements sound and integrated surveillance, deterrence and capture techniques and transport as part of regular day to day work activities. In addition, USDA WS is an emergency response agency that operates under the National Response Framework (NRF) and participates in emergency response in all regions of the United States working closely with other federal, state, tribal and local governments, along with the private sector to provide assistance and coordination during all-hazards emergencies, including oil spills. The Agency has the capability to respond to an incident under the Surveillance and Emergency Response System (SERS). SERS, an essential component of the USDA Wildlife Services National Wildlife Disease Program, serves as the primary emergency response contact point within APHIS Wildlife Services. Incident Response Teams (IRT) are made up of wildlife biologists and specialists that act as first responders. Team members have current medical clearances for personal protective equipment, HAZWOPER training and other specialized training, extensive Incident Command System training and have been deployed to oil spill and other emergency response incidents.
	 US Environmental Protection Agency (EPA) Region 5 has jurisdiction on the east side of the Mississippi River (i.e. in Illinois); US EPA Region 7 has jurisdiction on the west side of the Mississippi River (i.e. in lowa). US EPA provides Federal On-Scene Coordinators (FOSC) when an incident is federalized for spills originating from a source other than a vessel or marine transportation facility where: The FOSC is the lead federal official for spill response. The FOSC is the point of contact for the coordination of federal efforts with those of the local response community. The FOSC
US Environmental Protection Agency (US EPA) Region 5	 Will be in support of local command unless asked to assume control. The FOSC shall, to the extent practicable, collect pertinent facts about the discharge or release, such as its source and cause; the identification of potentially responsible parties; the nature, amount, and location of discharged or released materials; the probable direction and time of travel of discharged or released materials; whether the discharge is a worst case discharge; the pathways to human and environmental exposure; the potential impact on human health, welfare, and safety and the environment; whether the discharge or release poses a substantial threat to the public health or welfare; the potential impact on natural resources and property which may be affected; priorities for protecting human health and welfare and the environment; and appropriate cost documentation.
	The FOSC shall ensure that the Natural Resource Trustees are promptly notified of discharges or releases and shall coordinate all response actions with the trustees. The FOSC should ensure that all appropriate public and private interests are kept informed and that their concerns are considered throughout a response, to the extent practicable.

US Army Corps of Engineers (US ACE)	US Army Corps of Engineers (US ACE) staff are not trained or permitted to take part in spill response activity. Locks and dams may be accessed for use by responders, pending Lockmaster approval.
USCG	 The USCG provides Federal On-Scene Coordinators (FOSC) for oil discharges, when an incident is federalized and the source is either a vessel or marine transport related facility where: The FOSC is the lead federal official for spill response. The FOSC is the point of contact for the coordination of federal efforts with those of the local response community. The FOSC will be in support of local command unless asked to assume control. The FOSC shall, to the extent practicable, collect pertinent facts about the discharge or release, such as its source and cause; the identification of potentially responsible parties; the nature, amount, and location of discharged or released materials; the probable direction and time of travel of discharged or released materials; whether the discharge is a worst case discharge; the pathways to human and environmental exposure; the potential impact on human health, welfare, and safety and the environment; whether the discharge or release poses a substantial threat to the public health or welfare; the potential impact on natural resources and property which may be affected; priorities for protecting human health and welfare and the environment; and appropriate cost documentation. The FOSC shall ensure that the Natural Resource Trustees that may be impacted are promptly notified of discharges or releases. The FOSC should ensure that all appropriate public and private interests are kept informed and that their concerns are considered throughout a response, to the extent practicable.

Incident Name 2. Operational Period to be covered by IAP (Date/Time)							
	_	From: DISCOVERY	To: <u>OPERATIONAL PER</u>	,	COVER SHEET		
					UMR Pool 19		
			n this Incident Action Plan	N			
- <u>ICS 202</u>	Response Objectives	S. (Select from list as	appropriate)				
- <u>ICS 234</u>	Work Analysis Matrix	(Incident specific ob	jectives from ICS 202, strateg	ies and tactics/	/tasks)		
- <u>ICS 207</u>	Organization Chart.						
- <u>ICS 204</u>	Assignment List. (F	ill in operations personne	el contact name, incident-spec	cific assignmen	ts & resource summary)		
- <u>ICS 205</u>	Incident Communica	tions Plan. (Phone	e/Radio Contact list)				
- <u>ICS 206</u>	Medical Plan. (Medic	cal aid stations, hospitals	and emergency procedures)				
- <u>ICS 223</u>	Health and Safety M	essage. (General S	afety Message and Major Ha	zards/ Risks)			
- <u>ICS 214</u>	Unit Activity Log. (D	Details of unit activity, inc	luding team activity or individu	ual activity)			
		Other Attac	hments				
Tr	ne following attachments are no	ot included in the IAP. Ple	ease check and include addition	onal forms, as i	needed.		
<u>ICS 208 Site</u>	Safety and Control Plan(s)**		e specified, every organization s and procedures of their resp	•			
[] ICS 213 Gen	eral Message Form (for issu	ing approved information	releases from the IC to the J	PIC. (PIO's))			
<u>ICS 225 Map</u>	<u>s / Charts</u> (See <u>Pool 19 Ove</u>	rview Strategy Map and	USACE Navigational Charts.	Select and add	l maps, as necessary)		
ICS 232 Reso		nsitive areas list of priorit Id tables or maps, as neo	ies. See <u>Inland Sensitivity Atla</u> sessary)	<u>as Maps</u> & <u>Poo</u>	I 19 Overview Strategy Map.		
Weather Fore	ecasts / River flow – currents –	conditions					
□		_					
		_					
		General Incider	nt Summary				
Incident Information	n and Incident Status	<u>ocherar meraer</u>	<u>it outilitary</u>				
	cation, latitude/longitude	e, estimated quantity	r spilled, spill rate etc.)				
Prepared By:				Date/Time:			
(Name/Title) Approved by:							
(Name/Title)							

ICS – 202 INCIDENT OBJECTIVES UMR Pool 19

Obj	jectives
1.	Develop a response structure.
2.	Establish Unified Command and establish an Incident Command Post (via. County Emergency Management).
3.	Ensure the health and safety of the public and response personnel.
4.	Provide and manage necessary communications.
5.	Identify the Responsible Party and determine ability to respond to the spill.
6.	Conduct all the appropriate notifications (especially water supply operators and the USFWS), including notifications to downstream communities.
7.	Inform and update the community and public.
8.	Secure the incident scene, restrict river traffic as necessary and secure all evidence.
9.	Conduct response operations to control/stop the source of the spill, contain, recover or exclude released material in Pool 19 & downstream and minimize response related environmental impacts.
10.	Evaluate resources-at-risk and protect downstream sensitive resources and minimize response related environmental impacts.
11.	Coordinate recovery actions and appropriate rehabilitation procedures with natural resource trustees.
12.	Monitor releases to the environment.
13.	Use monitoring results to inform and support public safety personnel and the residents including commercial and recreational boating and temporarily moored houseboats.
14.	Coordinate response actions and resource information with natural resource trustees and the Natural Resource Damage Assessment & Restoration (NRDAR) process.
15.	Coordinate operations to evaluate impact to Mississippi River Pool 19, and potentially impacted residents, water intakes and resources downstream.
16.	Conduct cost recovery documentation and coordinate with natural resource trustees and the NRDAR process.

ICS – 234 WORK ANALYSIS MATRIX UMR Pool 19

Objec Desire		come	Recommended Strategies General Plan and Direction	Recommended Tactics or Tasks How, Who, What, Where, When	Status Operational Period # 1
	COMMAND	Develop a response structure	 Finding out viable Responsible Party Integrate company into the Unified Command (Usually as a Liaison Officer) 	 Determine Responsible Parties (RP) Set strategies and tactics with expected time frame with responsible party and their contractor Hire a response contractor(s) if RP not adequately responding Open Oil Pollution fund to conduct clean up and oversight of RPs if needed 	
		Establish Unified Command and establish Incident Command post and/or Emergency Operations Centers	 Integrate organizations into ICS Develop the IAP 	 Develop feasible response alternatives For technical assistance or funding, request help from Federal agencies- USCG or US EPA For technical assistance, request help from State agencies- IL EPA, IL DNR and/or IA DNR 	
				Review data with health experts	
	SAFETY	Ensure protection of health and safety of the public and response personnel	 Establish road, rail, and airspace controls Establish shore land perimeter control areas Temporally shut down public boat launches and tour boats if necessary 		
UNIFIED COMMAND	SAI		 Develop and implement an all-agency staff safety plan Written HASP (if hazmat or more than one operational period) Prepare Boat Safety Check List and Float Plan for boat crews 	Assign a safety officer (s)	
	LIAISON	Provide Notification to all impacted communities, states, federal agencies including downstream notifications	 Ensure notifications to principal partners, local, state, federal responders and land owners such as USFWS and any tribes. Ensure notifications to downstream states, municipalities, drinking water intakes, and economically sensitive businesses 	 Assign person to track proper notifications Contact private and public water supply utilities. Follow Upper Mississippi Response Plan Notification List (UMR field guide and draft IAP phone list) Conduct spill trajectory and time-of-travel to predict downstream impacts 	
				 Issue Federal Notice of Interest to RPs USFWS and IL EPA, IL DNR and/or IA DNR identifies the liaison representing the natural resource trustees 	
	PUBLIC INFORMATION	Coordinate and release information to ICS personnel, media, and other appropriate organizations	 Establish interagency and JPIC - PIO liaisons. Develop a multi-agency communications plan Provide Information resources to all organization needs Provide information resources to ICPs and EOCs (and JPIC) Provide timely situation updates to SEOC or local EOCs 	 Local law enforcement, USCG, USFWS law enforcement and state conservation officers and wardens temporally shut down impacted areas, boat ramps, and other sites used by the public USCG temporally shut down river traffic if necessary County and state emergency managers and local Sheriff provide emergency communications to impacted public Assign Public Information Officers to specific locations Submit updates and situation reports at requested times (sitreps) Provide Governor's briefings Reactive: respond to media inquiries 	

Objec Desire	tives ed Out	come	Recommended Strategies General Plan and Direction	Recommended Tactics or Tasks How, Who, What, Where, When	Status Operational Period # 1
OPERATIONS SECTION	LAW ENFORCEMENT	Secure the incident scene and secure all evidence	 Establish river traffic control, river-traffic evacuation, no-boating area. Secure scene immediately Collect evidence Prevent or minimize movement of evidence Evacuation / Shelter-in-place and warnings of citizens at risk Notify and evacuate if necessary house boats and boat house owners and occupants 	 USCG / Sheriff lead on River Traffic Mgmt. Police / Sheriff lead on road and rail traffic control Collect photo evidence and document the scene Coordinate with USFWS law enforcement and state conservation officers and wardens to ensure collection and storage of evidence to enforce federal and state wildlife laws 	
	LAND SOURCE OR WATER OPERATIONS	Conduct operations to stop the spill, contain, recover or exclude released material in Pool 19 and downstream	 Mobilize company responders, local spill COOP, first responders, county emergency government and hazmat teams, state and federal responders and their contractors Utilize company and locally stored equipment such as oil spill boom, sorbents, tanker trucks, vacuum units, oil collection equipment or pre-staged response equipment Mobilize local personnel and resources Activate Spill Response Contractors (if company response too slow or inadequate) Activate oil boom collection and recovery plan 	 Contain and stabilize oil-sources. Establish ignition source controls in hot zone Safely collect materials without creating nuisance conditions Implement an oil recovery plan Establish parameter and hot zone Deflect spilled material into Lock Chamber for collection. Utilize Lock and Dam Master and provide formal request from Federal OSC Utilize barges to divert or collect spilled material Evaluate the control of river level to facilitate spilled product collection and control (note: changing levels can cause larger smear zones) 	
	WILDLIFE	Reconnaissance for impacted fish and wildlife	 Establish Wildlife Branch to respond to threats of oiled wildlife Prepare to recover and rehabilitate oiled wildlife 	 USFWS and/or state resource managers will implement the following Plans, as necessary: "Wildlife Reconnaissance and Recovery Plan" to guide the finding and capture of oiled animals "Wildlife Stabilization and Transport Plan" to provide initial veterinary care for recovered oiled wildlife and to transport animals to rehabilitation areas USDA APHIS WS or other resource managers will oversee recovery of oiled wildlife and hazing operations USFWS and/or state resource managers will oversee wildlife care and rehabilitation of oiled wildlife, as necessary Wildlife Branch Director will ensure the RP contracts with a licensed wildlife rehabilitation organization to provide rehabilitation and other professional services 	
	CONNAISSANCE &	Conduct operations to monitor releases to the environment to support public safety personnel and the residents including commercial and	 Reconnaissance by IC organization staff, (reminder: need safety plan) Reconnaissance by compilation of information by others Joint reconnaissance with local authorities Air- flight reconnaissance and reporting 	 Establish & deploy Reconnaissance Team(s) Consider variety of resources for reconnaissance such as local, state, federal or private air recon, UASCE resources for reconnaissance, USACE Hydraulics personnel, NOAA, or private resources to provide spill trajectory's Wildlife Reconnaissance and Recovery Teams provide real time field situational updates on the location of oil and related environmental impacts to the Planning Section Environmental Unit to help direct Shoreline Cleanup Assessment Technique (SCAT) 	
	RECO	and temporarily moored houseboats	 Conduct air monitoring and water sampling Coordinate monitoring with the Environmental Unit, the NRDAR Liaison, and Wildlife Branch. 	Conduct perimeter air sampling, water sampling at scene and down river Provide environmental monitoring data and information as part of regular IC briefings, which include the Environmental Unit and the NRDAR Liaison and the Wildlife Branch	
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	ective ired C	e s Dutcome	Recommended Strategies General Plan and Direction	Recommended Tactics or Tasks How, Who, What, Where, When	Status Operational Period # 1
			Establish an Environmental Unit within the Planning Section to identify and evaluate sensitive resources	 Request assistance from the USFWS and IL EPA, IL DNR, or IA DNR. Open up the Oil Spill Liability Trust Fund (OSLTF) for a Pollution Removal Fund Authorization (PRFA). Initiate Endangered Species Act Section 7 emergency consultation. Establish linkage between Planning Section Environmental Unit and the Operations Sections for technical assistance on methods to avoid and minimize response related injury to natural resources. 	
			 Conduct spill modeling and spill trajectories Develop a monitoring plan for water quality 	 Provide info on how to assess to local authorities Contact air & water experts on agency call back lists (examples include hydraulic personnel, state water division contacts, biologists) Conduct spill trajectory and time of travel to predict downstream impacts 	
PLANNING SECTION	ENVIRONMENTAL	Establish a wildlife branch within Operations section and an Environmental unit within planning section if oiled wildlife is observed	Coordinate with Wildlife Branch to identify and evaluate sensitive resources.	 USFWS, IA DNR, and IL DNR will identify and evaluate sensitive natural resources, including seasonal and site-specific conditions (see Pool 19 Site-Specific Response Strategies (Overview Map) and ISA Maps). USFWS, IA DNR, and IL DNR will make a preliminary determination of the extent to which planned response actions may affect natural resources and suggest measures to avoid and minimize impacts. USFWS, IA DNR, and IL DNR will recommend and advise implementation of Response Strategies developed for Pool 19 Coordinate with Wildlife Branch to assist with development of plans to recon, haze, recover, and rehabilitate oiled wildlife USFWS, USDA WS, and state resource managers will develop: Wildlife Recon & Recovery Plan; Wildlife Hazing Plan; Wildlife Stabilization and Transport Plan; Wildlife Rehabilitation Plan. Request the NRDAR Liaison to coordinate the natural resource trustees to make a preliminary determination of the need for emergency restoration Coordinate collection, analysis, and results (including photo documentation) of environmental media (soils, sediments, water, etc.) and dead or dying fish and wildlife with natural resource trustee law enforcement via the NRDAR Liaison. Trustees may collect ephemeral data. 	
	OCUMENTATION	Ensure proper Documentation of the incident	Establish a documentation unit to properly document response (at command post)	 Coordinate collection and documentation of evidence with USFWS, IL EPA, and IA DNR (as applicable) for respective fish and wildlife enforcement actions and potential NRDAR actions Collect agency evidence for identified enforcement issues Track expenses for cost recovery 	
	DOCL		Data management for ICS	Assign staff to create maps, track personnel, catalog resources, etc.	
	RESOURCES	Acquisition and delivery of resources such as equipment, materials etc.	Develop a river traffic management plan	 Provide personnel with adequate safety equipment and initial and daily briefings Provide clear guidance that each organization is responsible for safety protocol in first operational period 	

Objec Desire		tcome	Recommended Strategies General Plan and Direction	Recommended Tactics or Tasks How, Who, What, Where, When	Status Operational Period # 1
7	INCIDENT COMMAND POST	Establish incident facilities including the command post, staging areas & other facilities as incident develops	If HUMAN INJURY occurs- Immediately establish a Medical/EMS section in ICS	Consider establishing medical/EMS if persons threatened with exposure	
LOGISTICS SECTION	COMMUNICATION	Provide and manage communications	Implement and maintain communications with all incident command posts, emergency operations centers and responders	 Assign a communications coordinator (unit leader), make a phone list, etc. Request additional communication resources if needed 	
	PROCUREMENT	Ensure procurement of materials and supplies & administer accounts receivable and payable to contract and non- contract vendors	Establish a Procurement unit and Ensure procurement of materials and supplies	 Ensure separate accounting of all contracts specifically related to the emergency incident and of all purchases within the enactment of the emergency incident management plan. Obtain authorization to initiate and finalize purchases Interpret and initiate contracts/agreements to minimize costs Maintain log of all purchases related to the incident and initiate the Procurement Summary Report 	
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ICS – 207 INCIDENT ORGANIZATION CHART UMR Pool 19

Initial Command Structure for the first operational period of an oil spill. Click here to have a workable and printable version of the Organization Chart



1. Incident name:	2. Operational Perio	d # 1	ICS – 204 ASSIGNMENT
	3. Section	Operations	LIST
	4. Division/Group	Law Enforcement	UMR Pool 19
5. Agencies Involved in L	aw Enforcement Group		
Agencies that might play a	role in Law Enforcement Group:		
Counties & Emer	gency Management		
 Counties & Emer Local Fire, Police 	gency Management e, Sheriff & Hazmat Teams		
 Counties & Emer Local Fire, Police US Fish and Wile 	gency Management		
 Counties & Emer Local Fire, Police 	gency Management e, Sheriff & Hazmat Teams		

Collect photo evidence and document the scene Coordinate with USFWS law enforcement and state conservation officers and wardens to ensure collection and storage of evidence to enforce

Establish river traffic control, river-traffic evacuation, no-boating area. USCG / Sheriff lead on River Traffic Mgmt.

Secure scene immediately- Police / Sheriff lead on road and rail traffic control

8. Special Instructions for Division/Group

6. Recommended Strategies and Tactics

federal and state wildlife laws

7. Incident-Specific Assignments

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9. Operations Personnel									
	Title Nan		Affiliation	Eme	rgency Contac	ct #	Contact # (s)		
	<u> </u>								
Communicati	ions: Refer to the <u>Pool 1</u>	19 Emergency Contact List pro	ovided in this Inciden	t Action Plan					
10. Resource	Summary (see <u>UMR Sp</u>	bill Response Plan and Resour	<u>rce Manual</u> for inform	ation on Spill	Response and	l Cleanup R	esources)		
ID	Resource Type	e Descriptio	n/ Location	Quantity	Size	Status	Notes/ Comments		
11. Additiona	I Information								
11. Additional Information									
Prepared By: (Name/Title)					Date/Ti	me:			
Approved by (Name/Title)	:				Date/Ti	me:			

1. Incident name:	2. Operational Period	#1	ICS – 204 ASSIGNMENT
	3. Section	Operations	LIST
	4. Division/Group	Containment	UMR Pool 19

5. Agencies Involved in Contain Release Group

Agencies that might play a role in Containment Group:

- Responsible Party/Facility/Industry/Contractors
- Counties & Emergency Management
- Local Fire, Police, Sheriff & Hazmat Teams
- Illinois Environmental Protection Agency (IL EPA)
- Illinois Department of Natural Resources (IL DNR)
- Iowa Department of Natural Resources (IA DNR)
- US Fish and Wildlife Service (USFWS)
- US Environmental Protection Agency (US EPA) Region 5/Region 7
- US Coast Guard (USCG)

Agency General Roles and Responsibilities: Refer to the Roles and Responsibilities Table provided in this Incident Action Plan

6. Recommended Strategies and Tactics

- Mobilize company responders, local spill CO-OP, first responders, county emergency government and hazmat teams, state and federal responders and their contractors
- Utilize company and locally stored equipment such as oil spill boom, sorbents, tanker trucks, vacuum units, oil collection equipment or pre-staged response equipment
- Mobilize local personnel and resources
- Activate Spill Response Contractors (if company response too slow or inadequate)
- Activate and implement oil boom collection and recovery plan
- Contain and stabilize oil-sources.
- Establish ignition source controls in hot zone
- Safely collect materials without creating nuisance conditions
- Deflect spilled material into Lock Chamber for collection. Utilize Lock and Dam Master and provide formal request from Federal OSC
- Utilize barges to divert or collect spilled material
- Evaluate the control of river level to facilitate spilled product collection and control (note: changing levels can cause larger smear zones)

7. Incident-Specific Assignments

9. Operations Personnel								
	Title	Name	Affiliatior	ı	Emergency Contact #		Contact # (s)	
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						-		
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						-		
						_		
Communicat	ions: Refer to the <u>Pool 19</u>	Emergency Contact List pro	ovided in this Incider	nt Action Pla	n			
10. Resource	Summary (see UMR Spil	I Response Plan and Resou	r <u>ce Manual</u> for inform	nation on Sp	ill Response and	d Cleanup F	Resources)	
ID	Resource Type	Descriptio	n/ Location	Quantity	Size	Status	Notes/ Comments	
		_						
11. Additiona	I Information							
Prepared By:					Date/Ti	me:		
(Name/Title) Approved by (Name/Title)					Date/Ti		<u> </u>	

1. Incident name:	ICS – 204 ASSIGNMENT		
	3. Section	Operations	LIST
L	4. Division/Group	Wildlife Branch	UMR Pool 19

5. Agencies Involved in Wildlife Recovery and Rehabilitation Group

Agencies that might play a role in the Wildlife Branch:

- Responsible Party/Facility/Industry/Contractors
- Illinois Environmental Protection Agency (IL EPA)
- Illinois Department of Natural Resources (IL DNR)
- Iowa Department of Natural Resources (IA DNR)
- USDA APHIS Wildlife Services (USDA WS)
- US Fish and Wildlife Service (USFWS)

Agency General Roles and Responsibilities: Refer to the Roles and Responsibilities Table provided in this Incident Action Plan

6. Recommended Strategies and Tactics

Establish a Wildlife Branch to respond to threats and reports of oiled wildlife

- Request assistance from USFWS, IA DNR, IL DNR and/or USDA-WS 0 0
 - Conduct wildlife reconnaissance
- Prepare to deter wildlife away from the incident Prepare to recover and rehabilitate oiled wildlife
 - Determine the potential need for professional and volunteer rehabilitation 0

 - USFWS and/or state resource managers will implement the following Plans, as necessary: "Wildlife Reconnaissance and Recovery Plan" to guide the finding and capture of oiled animals
 - "Wildlife Stabilization and Transport Plan" to provide initial veterinary care for recovered oiled wildlife and to transport animals to rehabilitation areas
 - "Wildlife Hazing Plan" if wildlife is attracted to oiled habitats

7. Incident-Specific Assignments

9. Operations Personnel								
	Title	Name	Affiliatior	ı	Emergency Contact #		Contact # (s)	
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						-		
						-		
						_		
Communicat	ions: Refer to the <u>Pool 19</u>	Emergency Contact List pro	ovided in this Incider	nt Action Pla	n			
10. Resource	Summary (see UMR Spil	I Response Plan and Resou	r <u>ce Manual</u> for inform	nation on Sp	ill Response and	d Cleanup F	Resources)	
ID	Resource Type	Descriptio	n/ Location	Quantity	Size	Status	Notes/ Comments	
		_						
11. Additiona	I Information							
Prepared By:					Date/Ti	me:		
(Name/Title) Approved by (Name/Title)					Date/Ti		<u> </u>	

1. Incident name:	2. Operational Period	d # 1	ICS – 204 ASSIGNMENT							
	3. Section	Operations	LIST							
	4. Division/Group	Reconnaissance & Monitoring	UMR Pool 19							
5. Agencies Involved in Reconn	5. Agencies Involved in Reconnaissance & Monitoring Group									

Agencies that might play a role in Reconnaissance and Monitoring Group:

- Responsible Party/Facility/Industry/Contractors
- Illinois Environmental Protection Agency (IL EPA)
- Illinois Department of Natural Resources (IL DNR)
- Iowa Department of Natural Resources (IA DNR)
- US Fish and Wildlife Service (USFWS)
- US Environmental Protection Agency (US EPA) Region 5/Region 7
- US Coast Guard (USCG)

Agency General Roles and Responsibilities: Refer to the Roles and Responsibilities Table provided in this Incident Action Plan

6. Recommended Strategies and Tactics

- Establish & deploy Reconnaissance Team(s)
- Reconnaissance by IC organization staff, (reminder need safety plan)
- Reconnaissance by compilation of information by others
- Joint reconnaissance with local authorities
- Air- flight reconnaissance and reporting
- Consider variety of resources for reconnaissance such as local, state, federal or private air recon, UASCE resources for reconnaissance, USACE Hydraulics personnel, NOAA, or private resources to provide spill trajectory's
- Conduct air monitoring and water sampling. Conduct perimeter air sampling, water sampling at scene and down river
- Conduct monitoring with the Planning Section's Environmental Unit and the NRDAR Liaison.
- Provide environmental monitoring data and information as part of regular IC briefings, which include the Environmental Unit and the NRDAR Liaison

7. Incident-Specific Assignments

9. Operations Personnel								
	Title	Name	Affiliatior	ı	Emergency Contact #		Contact # (s)	
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						-		
						-		
						-		
						_		
Communicat	ions: Refer to the <u>Pool 19</u>	Emergency Contact List pro	ovided in this Incider	nt Action Pla	n			
10. Resource	Summary (see UMR Spil	I Response Plan and Resou	r <u>ce Manual</u> for inform	nation on Sp	ill Response and	d Cleanup F	Resources)	
ID	Resource Type	Descriptio	n/ Location	Quantity	Size	Status	Notes/ Comments	
		_						
11. Additiona	I Information							
Prepared By:					Date/Ti	me:		
(Name/Title) Approved by (Name/Title)					Date/Ti		<u> </u>	

1. Incident name:	2. Operational Period	d # 1	ICS – 204 ASSIGNMENT
	3. Section	Planning	LIST
	4. Division/Group	Environmental Unit	UMR Pool 19

5. Agencies Involved in Reconnaissance and Monitoring Group

Agencies that might play a role in Planning Section Environmental Unit:

- U.S. Fish and Wildlife Service (USFWS)
- Iowa Department of Natural Resources (IA DNR)
- Illinois Department of Natural Resources (IL DNR)
- Illinois Environmental Protection Agency (IEPA)
- National Oceanic and Atmospheric Administration (NOAA)
- Responsible Party/Facility/Industry/Contractors

Agency General Roles and Responsibilities: Refer to the Roles and Responsibilities Table provided in this Incident Action Plan

6. Recommended Strategies and Tactics

Establish an Environmental Unit to evaluate resources at risk and protect sensitive resources in Pool 19 and downstream

- Request assistance from USFWS, IA DNR, IL DNR, and/or IEPA
- Identify and evaluate sensitive natural resources, including seasonal and site-specific conditions (see Pool 19 Site-Specific Response Strategies)
- Make a preliminary determination of the extent to which planned response actions may affect natural resources and suggest measures to avoid/minimize impacts
- Make recommendations on implementation of response strategies, and coordinate closely with the Operations Section to avoid and minimize response related injury to natural resources
- o Initiate Endangered Species Act Section 7 emergency consultation
- Coordinate with the Wildlife Branch to provide information to assist in the development of the follow plans: Wildlife Reconnaissance, Wildlife Hazing, Wildlife Recovery, Wildlife Transportation, and Wildlife Rehabilitation; and obtaining any necessary wildlife-related permits
- Conduct spill modelling and spill trajectories
- Provide information to Unified Command
- Develop a monitoring plan for water quality
- Contact air and water experts on agency call back lists (examples include hydraulic personnel, state water division contacts, biologists)
- Coordinate natural resource information with NRDAR liaison

7. Incident-Specific Assignments

9. Operations Personnel								
	Title	Name	Affiliation	n	Emergency Co	ontact #	Contact # (s)	
						-		
						-		
						-		
						-		
						-		
Communicat	ions: Refer to the <u>Pool 19</u>	<u>) Emergency Contact List pre</u>	ovided in this Incide	nt Action Pla	n			
10. Resource	Summary (see UMR Spi	Il Response Plan and Resou	<mark>rce Manual</mark> for inforn	nation on Spi	ill Response and	d Cleanup I	Resources)	
ID	Resource Type	Descriptio	n/ Location	Quantity	Size	Status	Notes/ Comments	
11. Additiona	I Information							
Dumous d Bur								
Prepared By: (Name/Title)	<u> </u>				Date/Ti	me:		
Approved by (Name/Title)	: 				Date/Ti	me:		

Incident name:	Operational Period# 1			ICS – 205 INCIDENT COMMUNICATION PLAN		
	From		То	UMR Pool 19		

Position	Name	Phone	e-mail	Current Location
Incident Commander and Staff				
Incident Commander(s)				
Safety Officer				
Information Officer				
Liaison Officer				
Water Operations Section Chief				
Land-Source Section Chief				
Wildlife Branch Section Chief				
Logistics Section Chief				
Planning Section Chief				
Law Enforcement Section Chief				
Prepared By: (Name/Title)			Date/Time:	
Approved By: (Name/Title)			Date/Time:	
(Name/Title)			l	I ———

UMR POOL 19 EMERGENCY CONTACT LIST

NAME	ORGANIZATION	Emergency #	Office Phone	E-Mail	Other(Radio)
Local					
911					
Gina Hardin	Des Moines Co. EM	319-753-8723	319-753-8206	harding@dmcounty.com	
Steve Cirinna	Lee Co. EM		319-372-4124	coordinator@leecountyema.com	
Sheriff Scott Bentzinger	Hancock Co. Sheriff		217-357-2115		
Jack Curfman	Hancock Co. ESDA		217-357-2115		
Sheriff Steven Haynes	Henderson Co. Sheriff	888-867-1101	309-867-4291		
Cindy Rhinehart	Henderson Co. EMA		309-867-2780	hendcoemazoning@mediacombb.net	
Chris Lee	Des Moines Co. Conservation Board	319-753-8260	319-572-1564	leec@dmcounty.com	
Matt Trexel	Burlington Fire Dept.		319-753-8396	trexelm@burlingtoniowa.org	
Private					
Derek Lampkin	BNSF Railroad	612-760-1365	763-782-3350	Derek.lampkin@bnsf.com	
Ed Dankbar	CP Railroad			Ed_dankbar@cpr.ca	
Kevin Schneider	Sinclair Transportation, Inc		319-463-7000	kschneider@sinclairoil.com	
Jim Lamer, Station Mgr.	Western Illinois Univ.		217-256-4519	<u>Jt-lamer@wiu.edu</u>	
Robin Nelson, ENS Spec.	Burlington Generation Station		319-758-5311		
Al Borden, Superintendent	Burlington Municipal Water Works		319-754-6501		
State	<u>+</u>	i			
Illinois Duty Officer	IL D.O.	217-782-7860	217-782-7860		
Iowa Duty Officer	IA D.O.	515-281-8694	515-281-8694		
District Wildlife Biologist	IL DNR		217-285-2221		
Kevin Oller	IL DNR		309-344-2617		
Field Office 6 Washington	IA DNR	319-653-2135	319-653-2135	Deborah.quade@dnr.iowa.gov	
Michael Griffin	IA DNR		563-872-5700	michael.griffin@dnr.iowa.gov	
Andy Robbins	IA DNR		319-551-8459	Andy.robbins@dnr.iowa.gov	
Angella Moorehouse	IL Nature Preserves Commission		217-785-8686 309-259-0231	Angella.moorehouse@illinois.gov	
Federal					
National Response Center	NRC	1-800-424-8802	1-800-424-8802		
US EPA Region 5	EPA	312-353-2318	312-353-2318		
US EPA Region 7	EPA	913-281-0991	913-281-0991		
Lock Master LD18	USACE	309-873-2246	309-873-2246		
Lock Master LD19	USACE	319-524-2631	319-524-2631		
Sector Upper Mississippi River	USCG	1-866-360-3386	314-704-9502		
MSD Quad Cities	USCG	1-866-360-3386	309-782-0627	msdqc@uscg.mil	
Ann Whelan	EPA	312-802-1405	312-886-7258	Whelan.Ann@epa.gov	
Steve Faryan	EPA	312-802-0507	312-353-9351	faryan.steven@epa.gov	
John Punkiewicz	USACE		309-794-5484	John.w.punkiewixz@usace.army.mil	
Scott R Pettis MVR	USACE		309-794-5851	Scott.R.Pettis@usace.army.mil	
Aaron Eads	USDA APHIS WS	866-487-3197	573-449-3033	Aaron.eads@ia.usda.gov	
Drew Delang	USDA APHIS WS	866-487-3197	573-449-3033	Drew.delang@ia.usda.gov	
Cathy Henry, Manager	USFWS, Port Louisa NWR		319-523-6982	Cathy henry@fws.gov	
Jason Wilson, Manager		573-754-2576	573-847-2333	Jason_wilson@fws.gov	
Kraig McPeek, Project Leader	USFWS, Rock Island		309-759-5800		

1. Incident name:	2. Opera	2. Operational Period# 1		ICS – 206 MEDICAL PLAN		
	From		То	UMR Pool 19		

3. First Aid Stations							
Name	Location	Location Yes I		0n-Site)		Phone	Radio
nume				N	0	Thone	
4. Transportation (Ground and/o	or Ambulance Services)						
Name	Location		EMT (C	0n-Site)		Phone	Radio
Name	Location	Y	es	N	0	Filone	Raulo
5. Hospitals							
Name	Location	Heli	pad	Burn (Center	Phone	Radio
Hume		Yes	No	Yes	No		T dulo
6. Special Medical Emergency F	rocedures						
Prepared By: (Name/Title)					Date	/Time:	_
Approved by: (Name/Title)					Date	/Time:	_

1. Incident name:	2. Opera	ational Period# 1		ICS – 223 HEALTH AND SAFETY MESSAGE
	From		То	 UMR Pool 19

3. Potential Hazards	
4. General Safety Concerns	
5. Additional Information	
Propored Ru:	
Prepared By: (Name/Title)	Date/Time:
Approved by: (Name/Title)	Date/Time:

1. Incident name:	2. Operational Period#	1	
	From	То	ICS – 214 UNIT ACTIVITY LOG
3. Unit name:	4. Unit Leader:		UMR Pool 19

tions for completing the form						
Field Title	Instructions					
Unit Name	For individuals: Enter tactical call (e.g. Checkpoint #, County EOC, etc.) or position name For teams: Enter the name of the organization unit or tactical call sign or resource designator					
Unit Leader		nter your name and call sign ne name, call sign and ICS position of the individual in charge of the unit				
3. Personnel Assigned For teams: Li		ist the name, ICS position and home base/city of each member assigned to the unit during the				
Activity Log	Activity: Briefly desc	escribe each significant activity or event (e.g. task assignments, task completions, injuries,				
nel Assigned						
NAME		ICS POSITION	HOME BASE/ CITY			
	Field Title Unit Name Unit Leader Personnel Assigned Activity Log nel Assigned	Field Title Instructions Unit Name For individuals: End Unit Leader For individuals: End Personnel Assigned For teams: Enter the local Activity Log Activity Log Time: Enter the local difficulties encountered NAME Instructions	Field Title Instructions Unit Name For individuals: Enter tactical call (e.g. Checkpoint #, County I Unit Name For teams: Enter the name of the organization unit or tactical of Unit Leader For individuals: Enter your name and call sign Personnel Assigned For teams: List the name, call sign and ICS position of the in Personnel Assigned For teams: List the name, ICS position and home base/city of eoperation period Activity Log Time: Enter the local time 24-hour format Activity: Briefly describe each significant activity or event (e.g. difficulties encountered, etc.). ICS POSITION Tel Assigned ICS POSITION Image: Second Seco			

Prepared By: (Name/Title) ———		Date/Time:
Approved by: (Name/Title)		Date/Time:
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UMR Pool 19 - ICS 214	IAP- 27	